

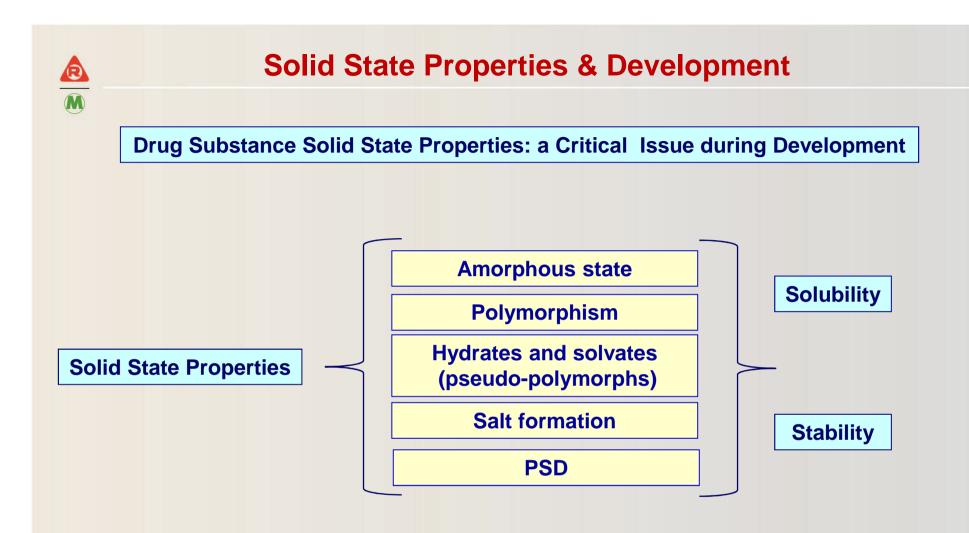
Stress Testing to Assess Stability Differences among the Physical Forms of an Active Substance.

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La caratterizzazione dello stato solido degli API, Milan 2013

Outline

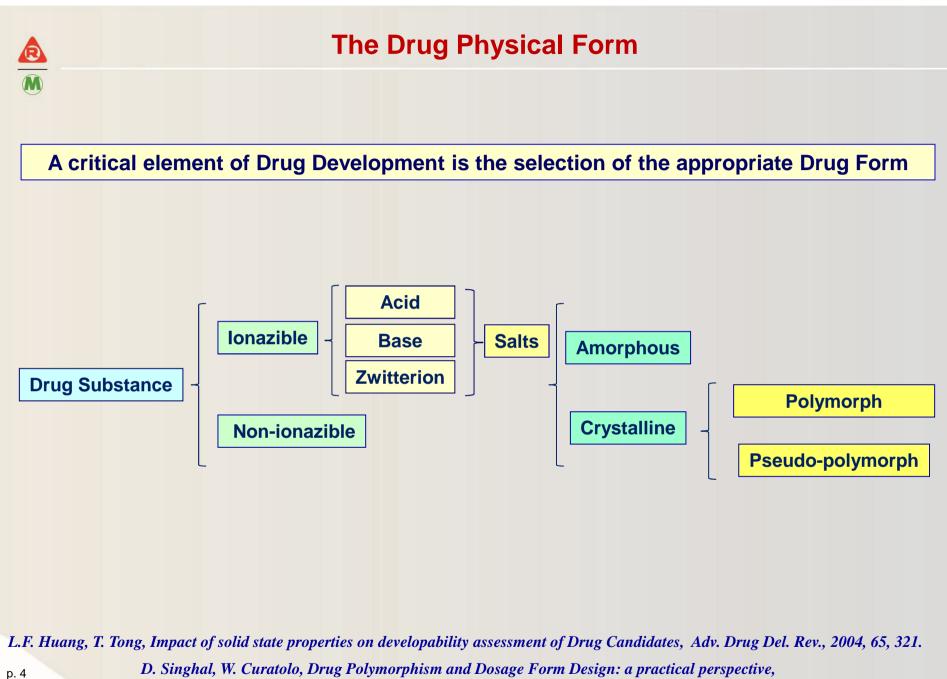
- Differences in stability among drug substance physical forms and corresponding impact on Drug Development.
- Design of the stress conditions for a comparative stability study.
- Considering the main pros and cons for different stress testing conditions.
- Impact of the study time length, practical and regulatory constraints.
- Overcoming the key challenges experienced when defining and designing stress conditions and limits.
- Impact of the excipients and formulation on stability: how stress conditions can be of help in the assessment



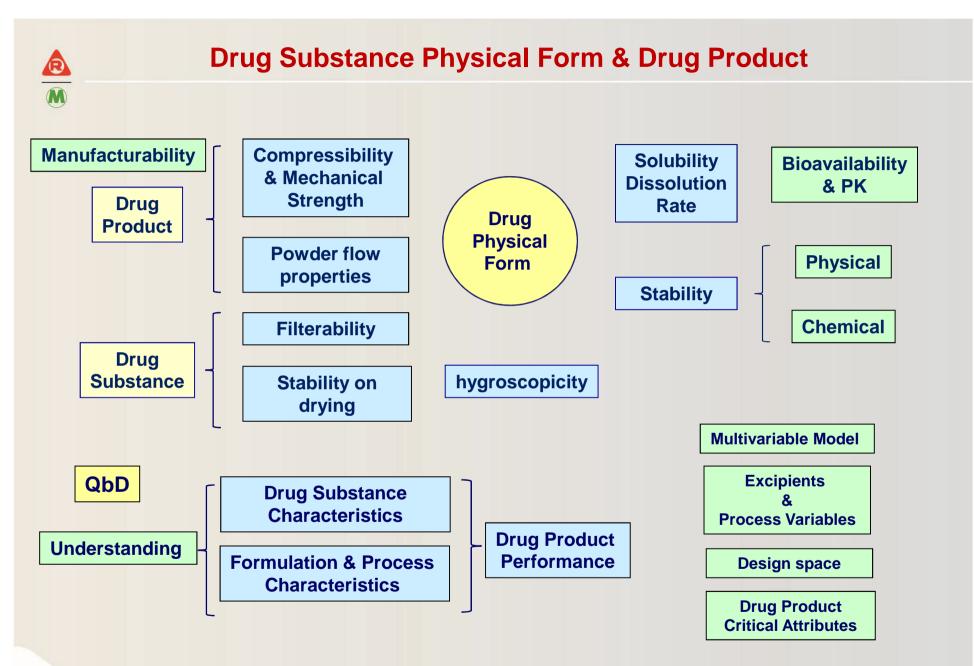
ICH Q6 A; Specifications: Test Procedures and Acceptance Criteria for New Drug Substances and New Drug Products: Chemical Substances, May 2000; paragraph 3.3.1, decision trees (3) and (4, 1-3).

S. Byrn et al., Pharmaceutical Solids a Strategical Approach to Regulatory Considerations, Pharmaceutical Research, 1995, 945-954.

p. 3 D. Lettani, T.J. DiFeo, The European Clinical Trials Directive – A Regulatory Approach for filing Drug Substance Information, Development and Industrial Pharmacy, 31: 709-718, 2005.



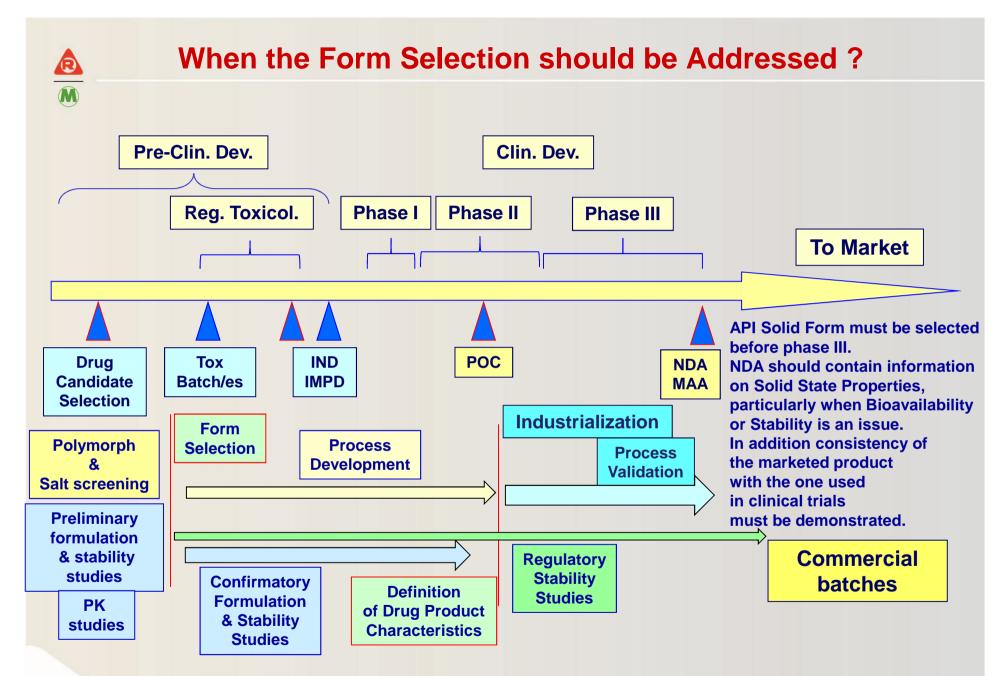
Adv. Drug Del. Rev., 2004, 335-347.



S. L. Lee et al., Significance of Drug Substance Physicochemical Properties in Regulatory Quality by Design, Drug Pharmaceutical Science, 2008, 178, 571-586.

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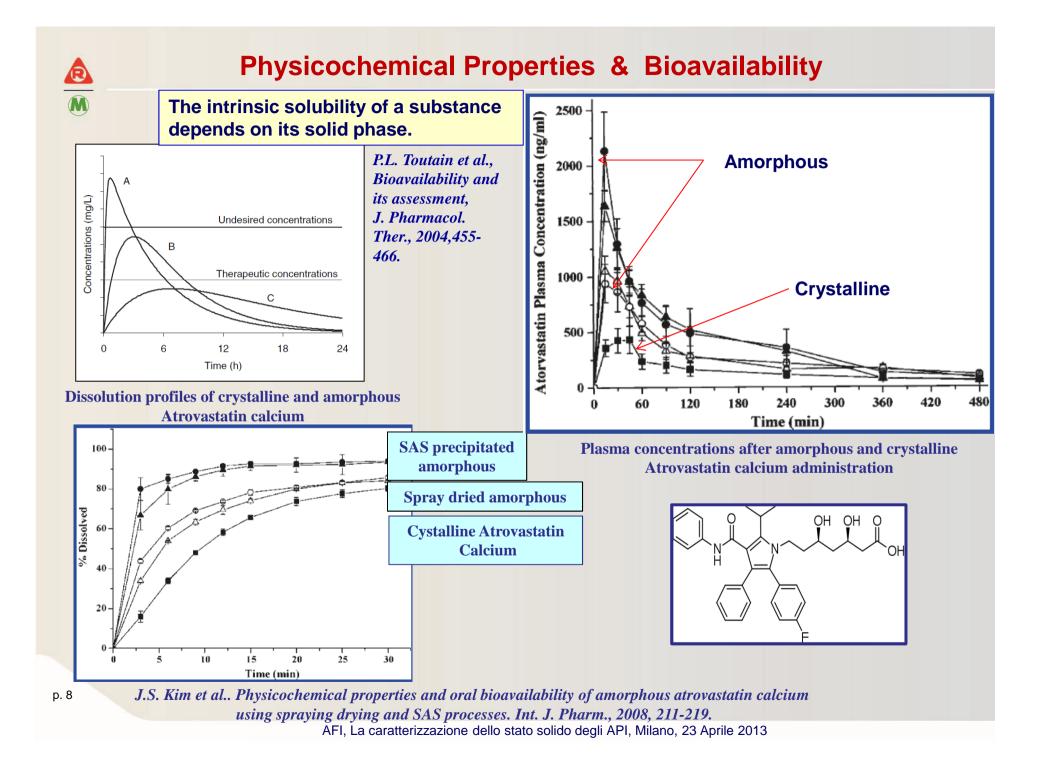
p. 6 *M. Paluki et al., Strategies at the Interface of Drug Discovery and Development: Early Optimization of the Solid State Phase and Preclinical Formulations for Potential Drug Candidates, J. Med. Chem., 2010, 5897-5905.* AFI, La caratterizzazione dello stato solido degli API, Milano, 23 Aprile 2013

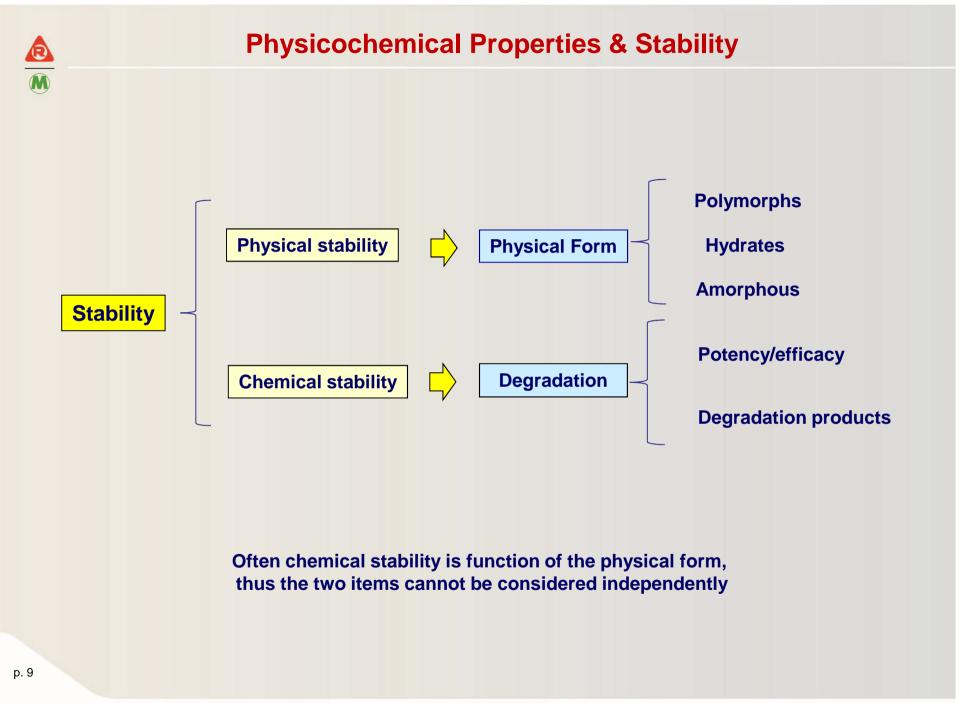
Identification of the Solid State Form: the Tier Approach Selection of the solid state form involves **Physical Amorphous Stability** the evaluation of physicochemical and **Chemical** prepared by biopharmaceutical properties and the **Spray Drying Dissolution** evaluation of physical and chemical stability properties of the known crystal forms of the compound Polymorph Salt Pseudo-polymorph screening screening screening Polymorph **Hydrates** screening screening **Identification & Analytical Characterization** of Available Forms Ţ **Physical Stability Studies** including hygroscopicity Dissolution **Physical-chemical Stability Studies Pre-candidate Selection** including excipient compatibility properties PK (animal) **Manufacturability Assessement Formulation Studies Confirmatory Regulatory Toxicology Candidate Form Selection Formulation**

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& Stability Studies

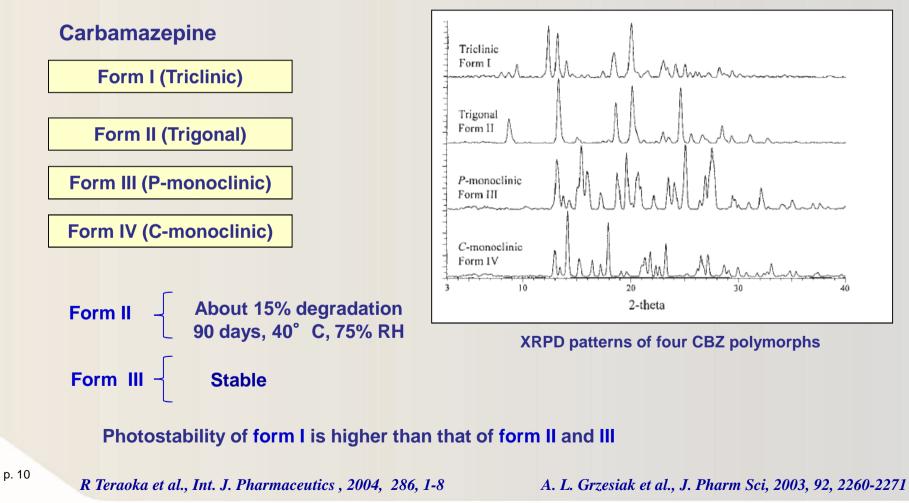
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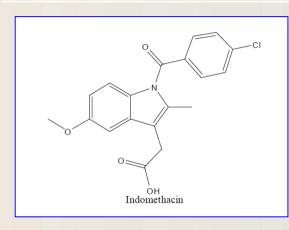


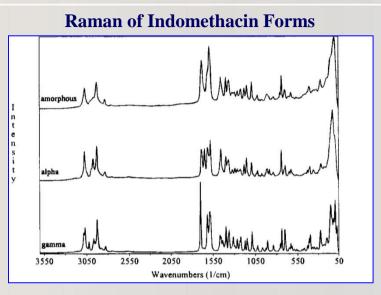
Solid State and Stability: Carbamazepine

Polymorphs and pseudo-polymorphs of several drugs are characterized by a different chemical stability, this is due to differences in crystal packing which give rise to different density, molecular mobility and different exposure of reactive groups.

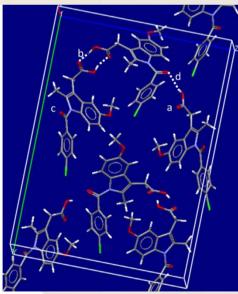


Indomethacin Solid State Forms & Reactivity



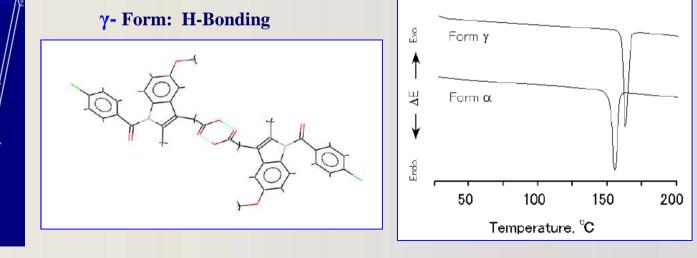


α-Form: H-Bonding and packing



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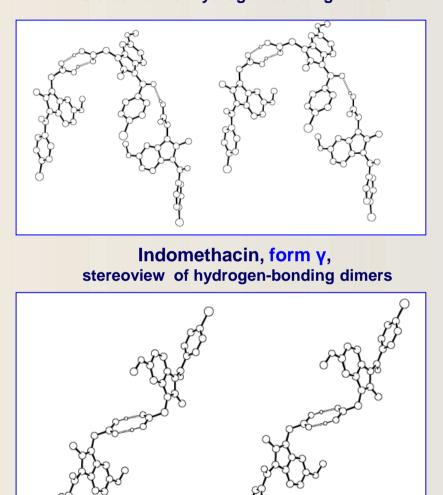
Indomethacin Crystal Forms DSC



B. Van Eedenburgh, L.S. Taylor. J. Pharmaceutics, 2011, 3-16.

Indomethacin Solid State Forms & Reactivity

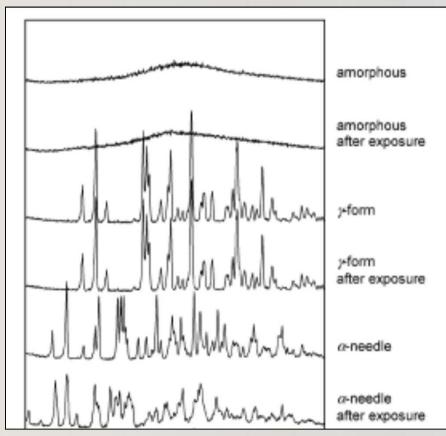
Indomethacin, form α, stereoview of hydrogen-bonding trimers



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Indomethacin: differences in crystal structure, differences in reactivity

While the γ - form doesn't react with ammonia gas the α -form quickly gives rise to the ammonium salt

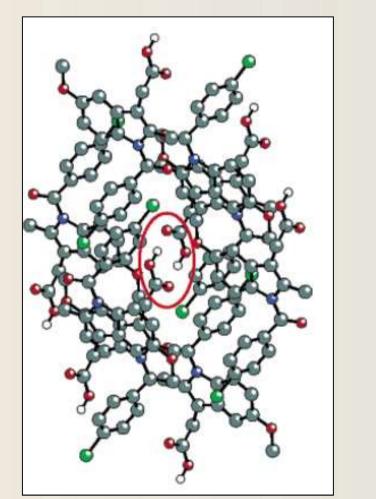


Chen et al., Reactivity Differences of Indomethacin solid forms with Ammonia Gas, J.Am.Chem.Soc., 2002, 124, 15012-19

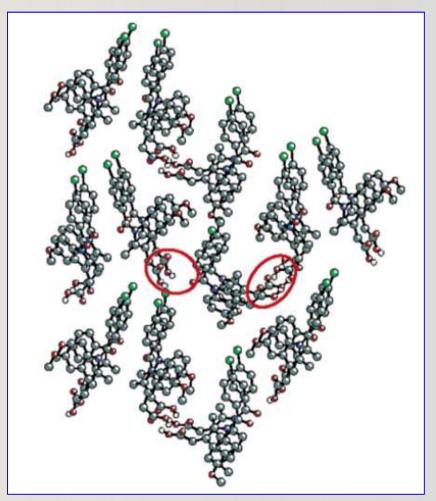
Indomethacin Solid State Forms & Reactivity

Changes in crystal packing can produce a large change in reactivity at the solid state

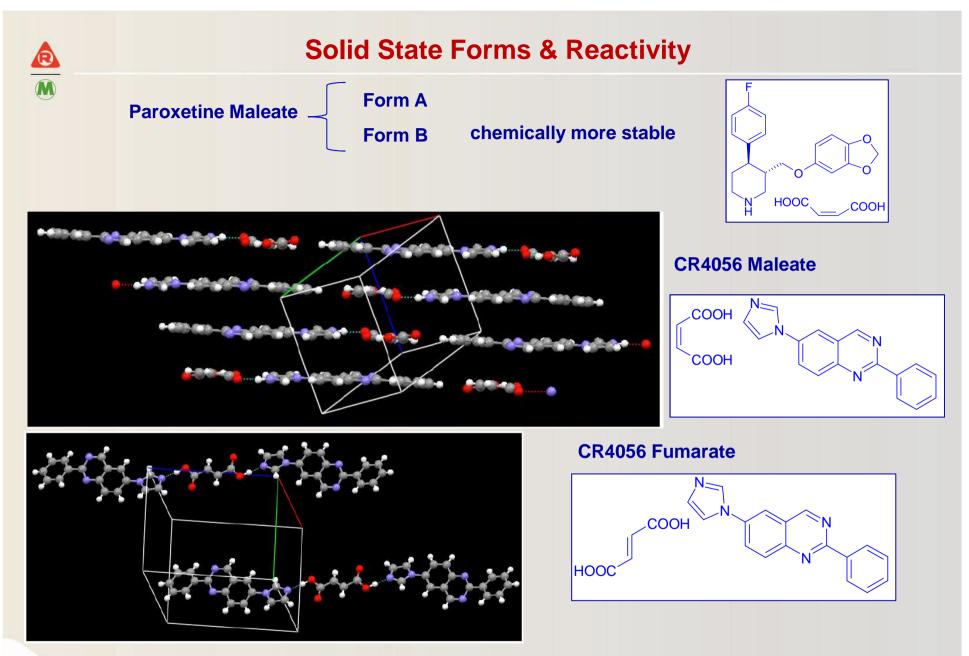
Stereoview of y-indomethacin crystal packing



Stereoview of α-indomethacin crystal packing



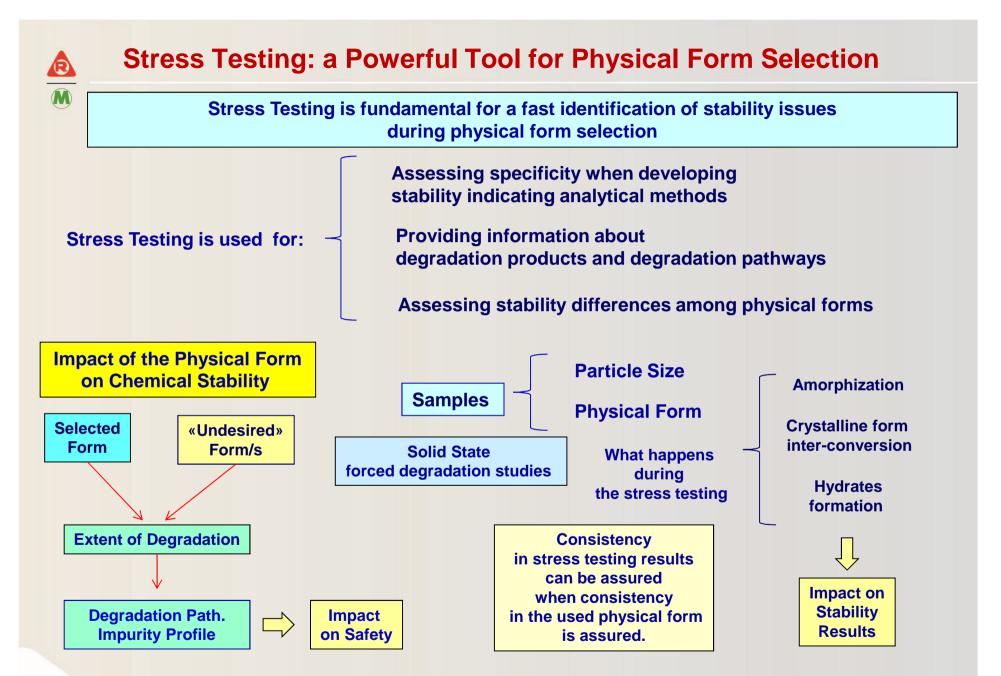
Chen et al., Reactivity Differences of Indomethacin solid forms with Ammonia Gas, J.Am.Chem.Soc., 2002, 124, 15012-19 p. 13



A. Diez et al., Paroxetine maleate polymorps and pharmaceutical compositions cointaing them, 2002, US 6,440, 459 A. Giordani et al., Crystalline forms of 6-(1H-imidazol-1-yl)-2-phenyl quinazoline and its salts, 2009, WO2010140139,

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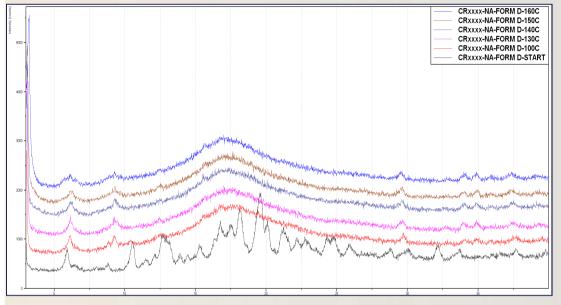


p. 15 B.R. Matthews, Regulatory aspects of stability testing in Europe, Drug dev. Ind. Pharm., 1999, 831-856. D. W. Reynolds et al., Available Guidance and Best Practice for Conducting Forced Degradation Studies, Pharmaceutical Tech., 2002, 48-54 AFI, La caratterizzazione dello stato solido degli API, Milano, 23 Aprile 2013

Sample History: Impact of the Amorphous Phase XRPD: CRXXX-Na Form A, 10 min. grinding, 5 to 25 Hz **CRXXX-Na: several crystalline forms** CRxxxxNA-FORM-CRY-A-GR-25HZ-10MIN **CRXXX-Na Form A** CRxxxxNA-FORM-CRY-A-GR-20HZ-10MIN CRxxxxNA-FORM-CRY-A-GR-15HZ-10MIN CRxxxxNA-FORM-CRY-A-GR-10HZ-10MIN CRxxxxNA-FORM-CRY-A-GR-5HZ-10MIN CRxxxxNA-FORM-CRY-A Amorphous **Mechanical liability** Lower Chemical Vs. amorphous **Stability** Which is the «mechanical history» of the sample ? Are the «Physical characteristics» of the sample for solid state stress testing representative of the standard product? VT-XRPD: CRXXX-Na Form A, RT to 260° C CRxxxx-NA-FORM A-260C No thermal liability CRxxxx-NA-FORM A-246C CRxxxx-NA-FORM A-240C CRxxxx-NA-FORM A-200C-M01 Vs. amorphous CRXXXX-NA-FORM A-200C CRXXXX-NA-FORM A-150C CRxxxx-NA-FORM A-75C CRXXXX-NA-FORM A-START No impact is expected In thermal stress testing p. 16

Sample History: Impact of the Amorphous Phase

VT-XRPD: CRXXX-Na Form D, RT to 160° C



XRPD: CRXXX-Na Form D, 10 min. grinding, 10 to 30 Hz

CRXXX-Na Form D

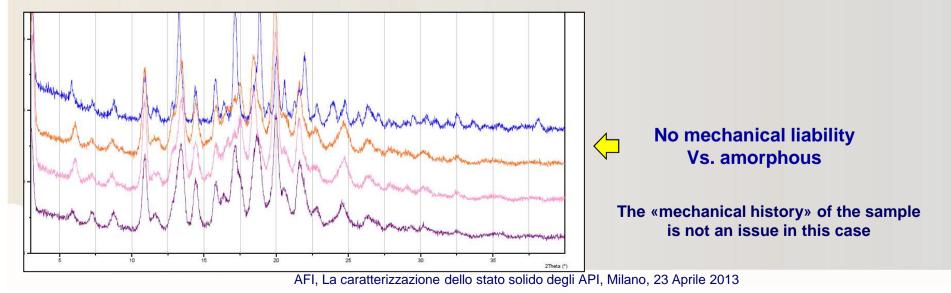
Thermal liability vs. amorphous

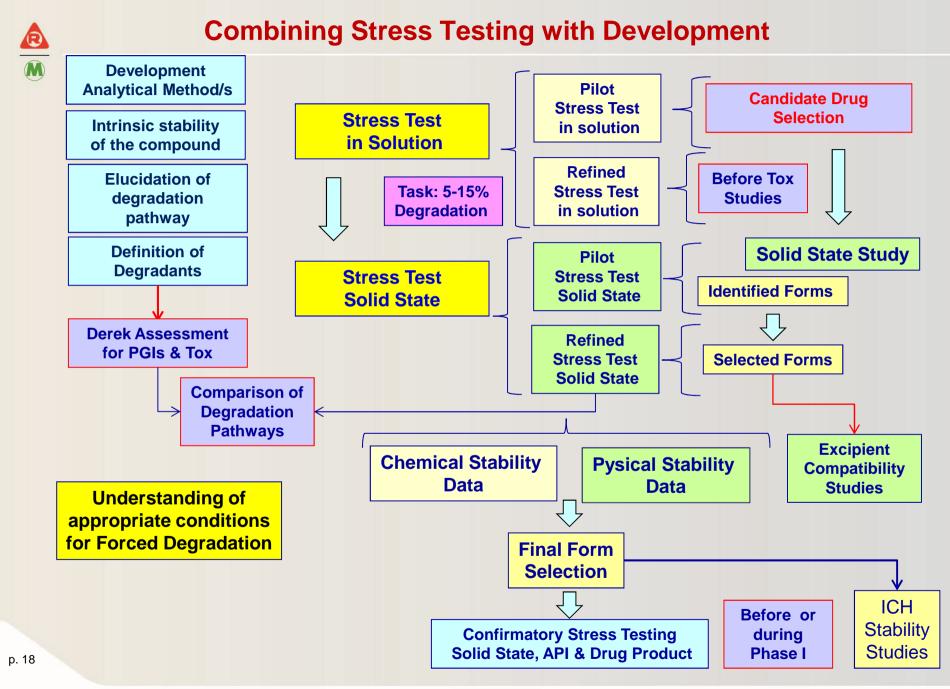
Amorphous Lower Chemical Stability

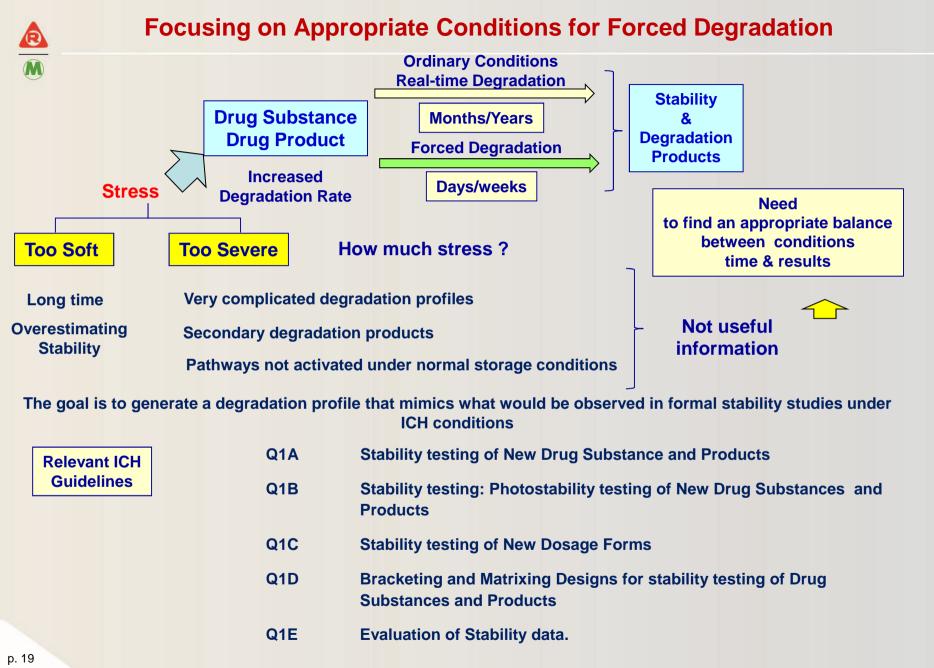
Are the conditions used during solid state stress testing sigificative?

Important when stress testing results are used for kinetic evaluation

Fine-tuning of the thermal stress conditions taking into account the physical transformation of the sample







S. Klick et al., Toward a Generic approach for Stress Testing of Drug Substances and Drug Products, Pharm. Tech., 2005, 48-66. AFI, La caratterizzazione dello stato solido degli API, Milano, 23 Aprile 2013

Focusing on Appropriate Conditions for Forced Degradation

A benchmarking study about conditions used for Stress Testing by 20 Pharmaceutical Companies was published

Stress testing in solution (hydrolysis)

50% of the companies explore the range pH 1-13

5% cover only ranges pH 1-2 and 12-13

20% only < **2** and >**12**

R

25% explore pH 0-2; 5-9; 10 - > 12

Temperature range: **RT** to <u>70°</u>C

no degradation observed $\rightarrow 80-90^{\circ}C$ or higher

Target degradation : 5-20%

It was frequently observed that although one stress condition was too soft for one compound it led to massive degradation for another compound.

It is difficult to generate the relevant degradation products with a fixed set of stress conditions some fine-tuning of applied stress conditions or stress duration is often necessary. Hydrogen peroxide (1-3%) is used for <u>oxidative</u> <u>degradation</u>

Temperatures: **RT** to **30°C**

Catalysts such as transition metals or AIBN

can be used as radical initiators.

<u>Thermal-humidity studies (drug substance)</u> typical range : <u>51-70°C</u> (70% companies) if no degradation occurs: stress the sample at <u>T> 90°C</u> (50% companies) in the range <u>71-90°C</u> (25% companies) The typical humidity range is 51-75% RH. If the sample degradation is negligible about 50% of the companies stress the sample at RH>75%.

K.M. Alsante et al., A Stress Testing Benchmarking Study, Pharm. Tech., 2003, 60-72.

D_p, W₀ Reynolds et al., Available Guidance and Best Practice for Conducting Forced Degradation Studies, Pharmaceutical Tech., 2002, 48-54 K.M. Alsante et al., The role of degradant profiling in active pharmaceutical ingredients and drug products, Adv. Drug Del. Rev., 2007, 29-37.

Stress Testing : The Screening

Screening approach, 28 samples x drug, carried out at the time of CD selection

Hydrolytic	Co-solvents: THF (DMSO), acetic acid, alcohols for not reactive compounds Concentration: 0.1-1 mg/mL
 0.1N HCl/NaOH 8 hrs, 24 hrs, 48 hrs @ 25°C 2 N HCl/NaOH 8 hrs, 24 hrs, 48 hrs @ 25°C 	Comparative Intrinsic Stability among pre-candidate Drugs
 3) 0.1 N HCl/NaOH 4 hrs, 8 hrs @ 50°C 4) 0.1 N HCl/NaOH 4 hrs, 8 hrs @ 80°C 	Preliminary information about Degradation Rates
 5) 2 N HCl/NaOH 4 hrs, 8 hrs @ 50°C 6) 2 N HCl/NaOH 4 hrs, 8 hrs @ 80°C 	Preliminary information about Relevant Degradants

Samples are controlled using at least two orthogonal HPLC systems (RP & HILIC or Chromolith/Phenyl)

Results are reported as A% drug remaining; samples are quenched, analyzed and frozen

Optionally: samples with relevant degradants are analyzed for structure elucidation (LC-MS)

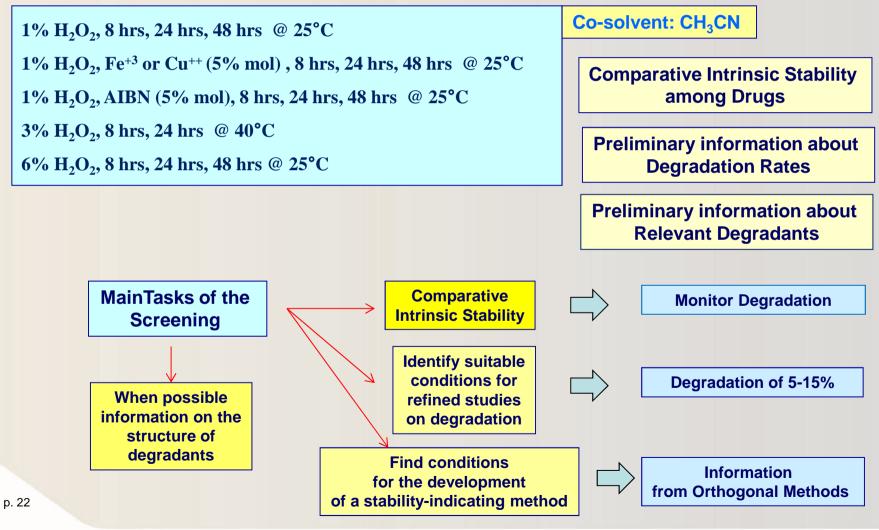
Information about differences in reaction rate between acidic and alkaline hydrolysis is also useful for formulation development.

P. Bojana et al., Microwave-assisted forced degradation using high-throughput microtiter platforms, J. Pharm. Biomed. 2011, 867-873. M. Argentine, Strategies for the investigation and control of process-related impurities in drug substances, Adv. Drug Del. Rev.59, 2007 12-28 p. 21 Liu et al., Geometric approach to factor analysis for the estimation of orthogonality and practical peak capacity in comprehensive two-dimensional separation, Anal. Chem. 67 (1995), 3840-45

Stress Testing : The Screening

Screening approach, 16 sample x drug, carried out at the time of CD selection

Oxidative



Info obtained by the Screening

Hydrolytic Conditions

Со	nditions	Time (hours) vs. A%				
HCl	Temp. (°C)	0	4	8	24	48
(N)						
0.1	25	99.3	-	99.4	99.3	99.0
2	25	99.3	-	98.6	96.6	93.5
0.1	50	99.3	97.7	96.2	-	-
0.1	80	99.3	93.1	86.5	1	-
2	50	99.3	96.6	93.8	1	-
2	80	99.3	88.2	77.2	-	-

Col	nditions	Time (hours) vs. A%				
NaOH	Temp. (°C)	0	4	8	24	48
(N)						
0.1	25	99.3	-	99.1	98.6	96.4
2	25	99.3	-	94.5	84.5	63.2
0.1	50	99.3	83.8	71.3	1	-
0.1	80	99.3	68.7	31.3	1	-
2	50	99.3	80.1	43.2		-
2	80	99.3	51.3	<30%	-	-

Assess mass balance, if significant mass loss is observed : Response factors, volatile or highly retained products Conditions leading to degradation of about 20% were identified

The Compound was more stable under acidic than alkaline conditions

Degradation profile under acidic conditions did not completely match that under basic conditions (at same temperature)

For acidic contitions, Degradation Profile/Pathway at lower temperature (25°C) matched with those at higher temperatures (secondary degradants highlited at 80°C).

For alkaline conditions Degradation Profile/Pathway at lower temperature (25°C) did not match with the one at 80°C.

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Fine-tuning of Conditions in Refined Stress Test

Suitable degradation at a lower temperature in a reasonable time

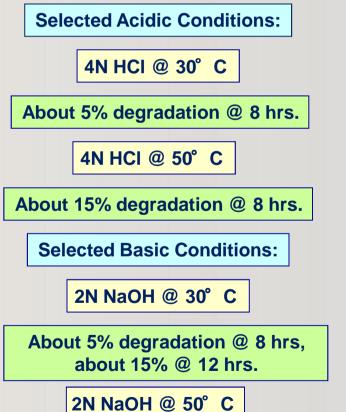
Co	nditions		Time	(hours)		
HCl	Temp. (°C)	0	4	8	24	48
(N)						
0.1	25	99.3	-	99.4	99.3	99.0
2	25	99.3	-	98.6	96.6	93.5
0.1	50	99.3	97.7	96.2	-	-
0.1	80	99.3	93.1	86.5	-	-
2	50	99.3	96.6	93.8	-	-
2	80	99.3	88.2	77.2	-	-

Cor	nditions	Time (hours) vs. A%				
NaOH	Temp. (°C)	0	4	8	24	48
(N)						
0.1	25	99.3	-	99.1	98.6	96.4
2	25	99.3	-	94.5	84.5	63.2
0.1	50	99.3	83.8	71.3	-	-
0.1	80	99.3	68.7	31.3	-	-
2	50	99.3	-	80.1	43.2	-
2	80	99.3	-	<30%	-	-

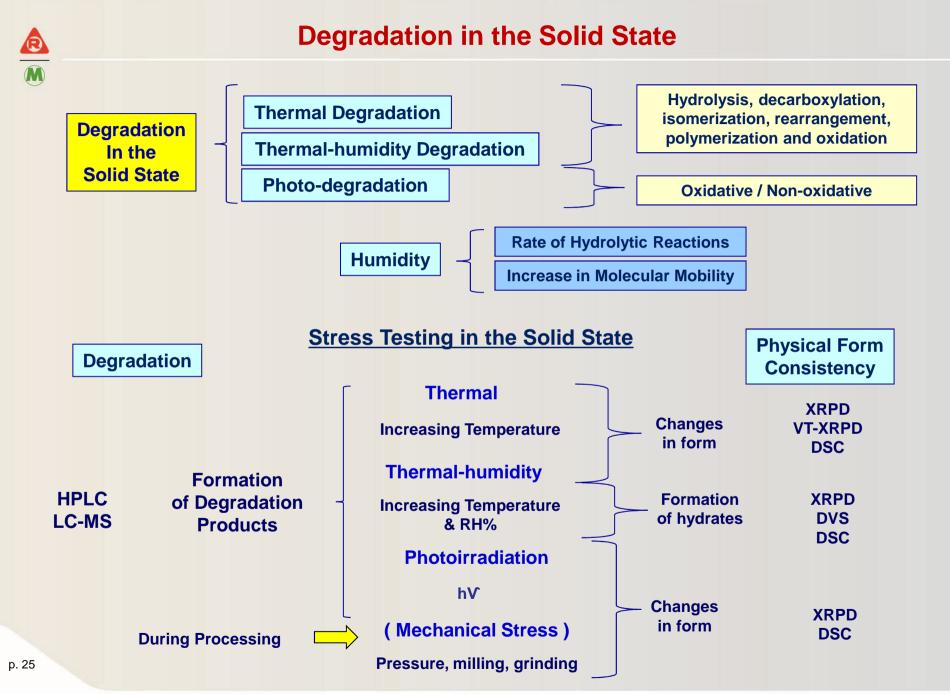
Need to ensure that the degradation profile at the experimental temperature is consistent with the one at r.t.

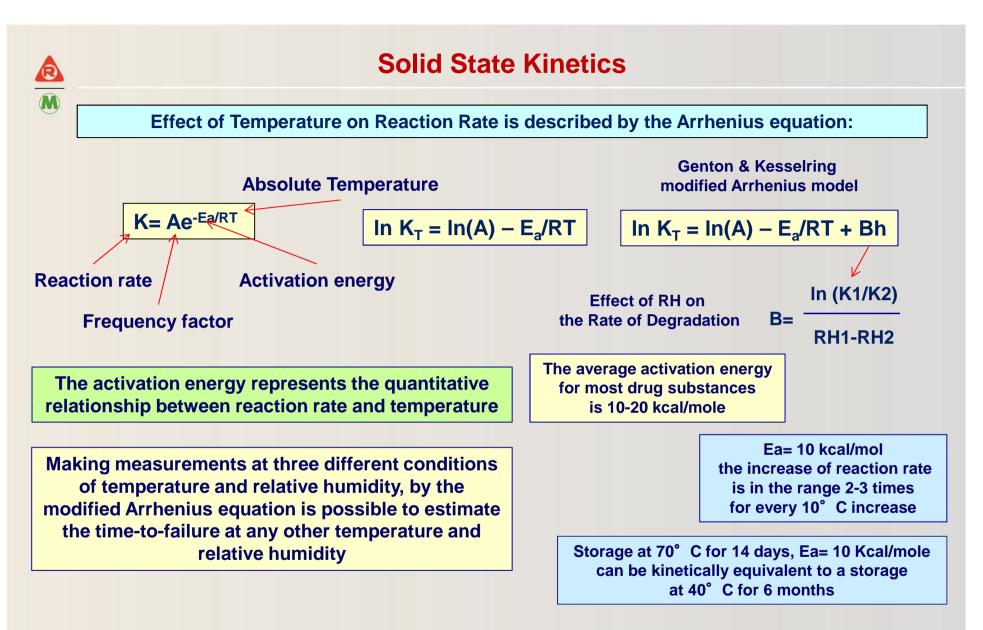
p. 24 Check Intermediate pH ranges : 3-9

About 5 % Degradation:	About 15 % Degradation:
2N HCI @ 25°	0.1N HCI @ 80°
2N HCI @ 50°C	2N HCI @ 80°C
0.1N HCI @ 80°C	2N NaOH @ 25°C
2N NaOH @ 25°C	0.1 N NaOH @ 50°C



About 5% degradation @ 2 hrs, about 20% @ 8 hrs.

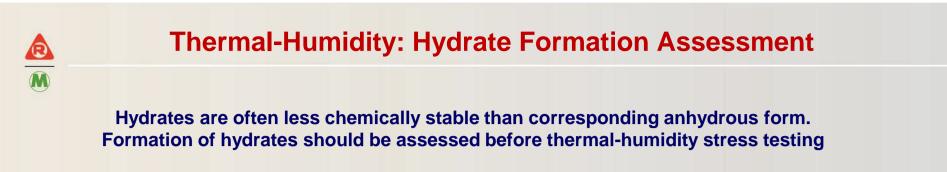




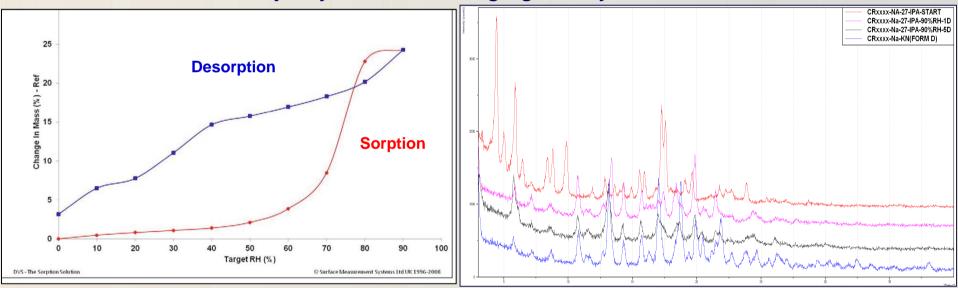
S.W. Baertschi, Pharmaceutical Stress Testing: Predicting Drug Degradation, Taylor&Francis, 2005

G. Scrivens, Mean Kinetic Relative Humidity: A New Concept for Assessing the Impact of Variable Relative Humidity on Pharmaceuticals, Pharm Tech., 2012,52-57.

A. Vyazovikin, C.A. Wigth, Kinetics in Solids, Ann. Rev. Phys. Chem., 1977, 48, 127.



Sometimes hydrate screening methods in solution are not able to highlight hydrates which are formed by interaction with moisture in the solid state.



DVS jointly with XRPD can highlight the hydrate formation

Y. Cui et al., Evaluation of Hydrates Screening Methods, J. Pharm. Sci., 2008, 97(7), 2730. D. Giron et al., Solid State Characterization of Pharmaceutical Hydrates, J. Therm. Anal. Cal., 2002, 68, 453.

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Thermal: Temperatures higher than 50° C such as 70-90° C can be applied to rapidly generate data during development.

Samples stored at lower temperatures for longer times can provide information about consistency of the degradation pattern.

Use the highest thermal stress that does not result in a change of physical form.

Thermal-humidity

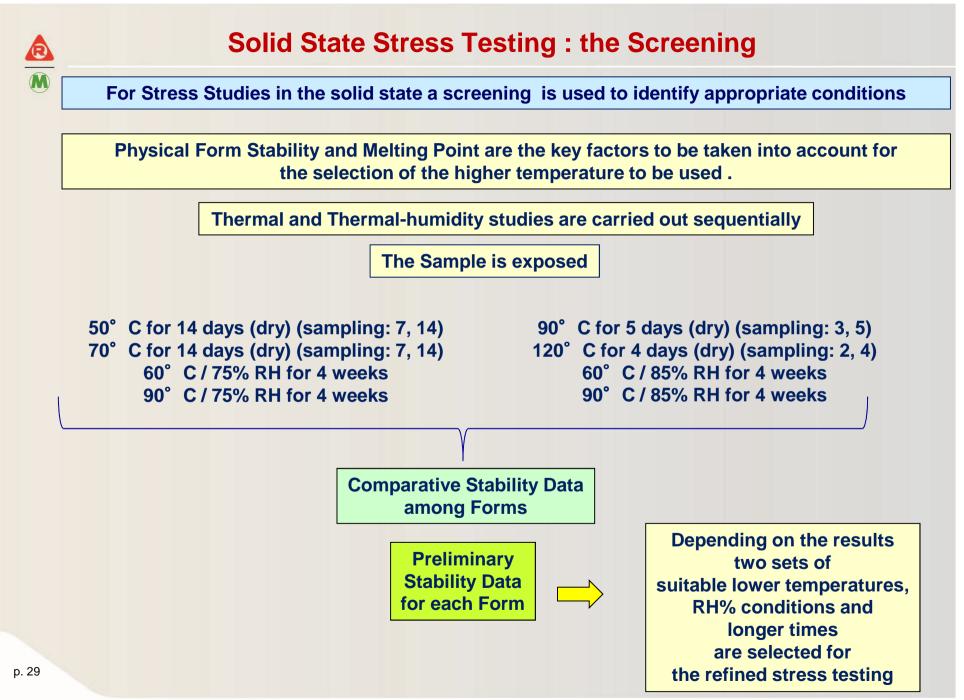
ICH Q1A(R2) (2003) Long Term (12 months): 25±2 °C, 60±5% RH,	Protocol f	Protocol for accelerated shelf-life estimation				
Accelerated (6 months): 40±2°C,75±5% RH,	T (°C)	RH (%)	Sampling 7	Time (days)		
"intermediate" : 30±2 °C, 65% RH (backup data)	50	75	4	14		
	60	5	14	21		
Another widely used protocol:	60	40	4	21		
	70	5	4	21		
70°C, 75% RH; 70°C, 20% RH; 2-4 wks	70	75	1	2		
	80	40	1	4		

K. Waterman et al., Improved protocol and data analysis for accelerated shelf-life estimation, Pharm. Res., 2007, 24(4), 780-790.

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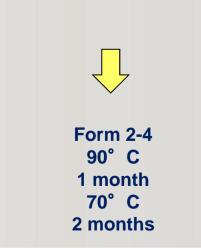


Example: Difference in Stability among CRXXX Forms

Thermal

1. 99.3 % 1. 98.8 % 1. 92.0 %	Less Chemically Stable Form
2. 99.3 % 2. 99.3 % 2. 98.9 %	
3. 99.3 % 3. 99.2 % 3. 98.9 %	No Stability Differences
4. 99.5 % 4. 99.4 % 4. 98.8 %	
5. 99.3 % 5. 99.0 % 5. NA	Amorphous Quite Stable

- 1. CRXXX solvate desolvated
- 2. CRXXX-Na (form D)
- 3. CRXXX-Na (form A)
- 4. CRXXX-HCI (form A)
- 5. CRXXX amorphous



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Example: Difference in Stability among CRXXX Forms

Example M Thermal-humidity

In	itial results		1 week		2 weeks		3 weeks		4 weeks	Stability study @ 60° C/85% RH
1.	99.3 %	1.	99.2 %	1.	99.2 %	1.	99.1 %	1.	99.1 %	
2.	99.3 %	2.	99.2 %	2.	99.2 %	2.	99.2 %	2.	99.3 %	
3.	99.3 %	3.	99.2 %	3.	99.2 %	3.	99.2 %	3.	99.1 %	 CRXXX solvate desolvate CRXXX-Na (form D) CRXXX-Na (form A)
4.	99.5 %	4.	99.4 %	4. CRXXX-HCI (form A) 5. CRXXX amorphous						
5.	99.3 %	5.	99.2 %	5.	99.1 %	5.	99.2 %	5.	99.0 %	
Ir	nitial results		1 week		2 weeks		3 weeks		4 weeks	Stability study @ 90° C/85% RH
1.	99.3 %	1.	96.2 %	1.	96.1 %	1.	94.3 %	1.	94.3 %	Forms 2-4
2.	99.3 %	2.	99.2 %	2.	99.3 %	2.	98.9 %	2.	99.1 %	70° C 75% RH
3.	99.3 %	3.	99.1 %	3.	99.1 %	3.	98.7 %	3.	99.1 %	→ 3 months 90° C
4.	99.5 %	4.	99.3 %	4.	99.3 %	4.	98.4 %	4.	98.9 %	75% RH
										2 months

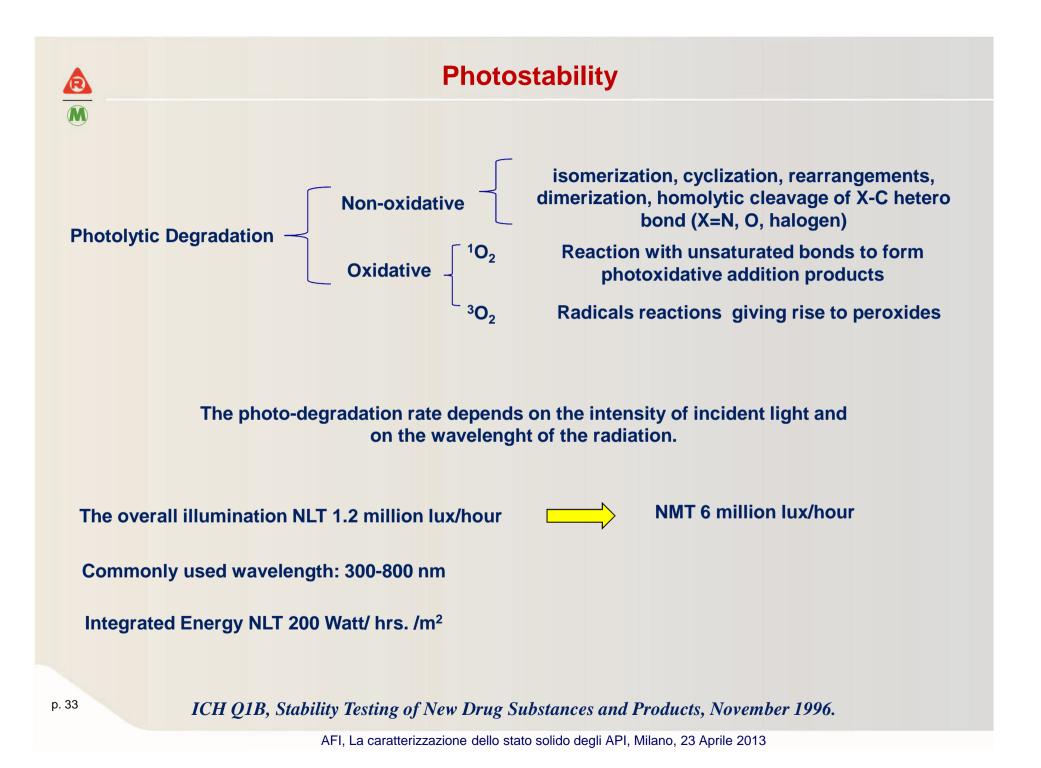
Confirmatory stress test in the solid state not more than 3 months



Impurity Pattern Assessment

Main degradation products (≥ 0.1 %):

Form	90° C	120° C	60° C/85%RH ¹	90° C/85%RH ¹
1	RRT1.06: 0.6 %	RRT0.65: 0.8 %	RRT1.06: 0.5 %	RRT0.65: 0.6 %
		RRT1.06: 0.7 %		RRT1.06: 0.5 %
		RRT1.09: 0.3 %		RRT1.09: 0.4 %
		RRT1.21: 0.5 %		RRT1.21: 0.4 %
		RRT1.44: 0.4 %		RRT1.44: 0.4 %
		RRT1.68: 1.3 %		RRT1.68: 0.7 %
		RRT1.86: 0.5 %		RRT1.86: 0.3 %
2	RRT1.06: 0.6 %	RRT1.06: 0.9 %	RRT1.06: 0.6 %	RRT1.06: 0.6 %
		RRT1.09: 0.1 %		
3	RRT 1.06: 0.7 %	RRT1.06: 0.9 %	RRT 1.06: 0.8 %	RRT1.06: 0.8 %
		RRT1.09: 0.1 %		
4	RRT0.99: 0.1 %	RRT0.99: 0.1 %	RRT0.99: 0.2 %	RRT0.99: 0.2 %
	RRT1.06: 0.4 %	RRT1.06: 0.6 %	RRT1.06: 0.3 %	RRT1.06: 0.6 %
		RRT1.09: 0.1 %		
5	RRT0.95: 0.1 %	-	RRT0.99: 0.3 %	RRT0.65: 1.0 %
	RRT0.99: 0.3 %		RRT1.06: 0.2 %	RRT0.98: 0.4 %
	RRT1.06: 0.2 %		RRT1.08: 0.1 %	RRT1.04: 0.8 %
	RRT1.08: 0.1 %			RRT1.06: 0.3 %
				RRT1.08: 0.4 %
				RRT1.22: 0.7 %
				RRT1.27: 0.8 %
				RRT1.44: 0.5 %
				RRT1.48: 0.7 %
				RRT1.49: 0.6 %
				RRT1.69: 2.1 %
				RRT1.87: 0.6 %
¹ After fo	our weeks			



Example: Difference in Photostability among CRXXX Forms

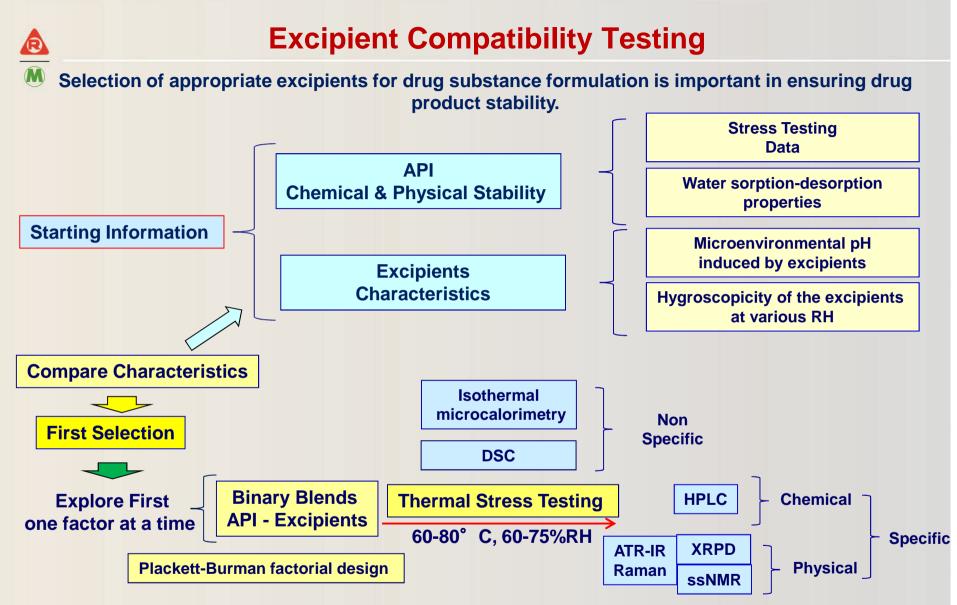
Sample	Initial	Photostab. 12hrs Control (65°C)	Photostab. 12hrs Test Sample
CRXXX-Na Form A	99.2%	99.2%	98.5%
CRXXX-Na Form D	99.2%	99.2%	92.4%
CRXXX.HCl Form A	99.3%	99.3%	98.2%
CRXXX Amorphous	99.4%	99.4%	81.6%
•Test Sample: powder		Xenon lamp; Irrad	iance value: 765W/h/m ²

powder distributed on Qz plate •Control: sample wrapped in aluminum foil (for evaluation of thermal degradation)

Xenon lamp; Irradiance value: 765W/h/m² 1st Trial: exposition for 12 hrs. 2nd trial: exposition for 48 hrs.(4xICH)

Sample	Purity
	(A%)
Amorphous (t=0)	99.4
Photostability	99.4
Control_12hrs	
Photostability_12	82.0
hrs	
Photostability_48	52.1
hrs	

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