





Quality of active pharmaceutical ingredients and industrial bioprocess;

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IBC: President of the Italian Biocatalysis Center

"Qualità dei prinicipi attivi: concetti generali"

Quality of Active Pharmaceutical Ingredients (API)

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New API :
EMEA (ICH Guide line)
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Generic API: ICH Guide line Farmacopea (EP, USP, JP)

Control of Quality of API

Obtained by chemical process

- 1. Impurities in New Drug Substances (ICH Q3A R2)
- 2. Ph. Eur. General Monograph 2034, Substances for Pharmaceutical Use
- **3. Impurities testing** (ICH Q3A); Ph. Eur. General Monograph 2034, Substances for Pharmaceutical Use)
- 4. Residual Solvents (ICH Q3C); Ph. Eur. general text 5.4
- 5. Specific Monographs (Ph. Eur.)

Product obtained by Fermentation Process

- 1. General Monograph for **Product of Fermentation 01/2008:1468;**
- 2. Specific Monographs (Ph. Eur.)

General monograph (2034)

Related substances. Unless otherwise prescribed or justified and authorised, organic impurities in active substances are to be reported, identified wherever possible, and qualified as indicated in Table 2034.-1.

Use	Maximum daily dose	Reporting threshold	Identification threshold	Qualification threshold
Human use or human and veterinary use	≤ 2 g/day	> 0.05 per cent	> 0.10 per cent or a daily intake of > 1.0 mg (whichever is the lower)	> 0.15 per cent or a daily intake of > 1.0 mg (whichever is the lower)
Human use or human and veterinary use	> 2 g/day	> 0.03 per cent	> 0.05 per cent	> 0.05 per cent
Veterinary use only	Not applicable	> 0.1 per cent	> 0.2 per cent	> 0.5 per cent

Table 2034.-1. - Reporting, identification and qualification of organic impurities in active substances

Specific thresholds may be applied for impurities known to be unusually potent or to produce toxic or unexpected pharmacological effects.

If the individual monograph does not provide suitable control for a new impurity, a suitable test for control must be developed and included in the specification for the substance.



The requirements above do not apply to biological and biotechnological products, peptides, oligonucleotides, radiopharmaceuticals, products of fermentation and semi-synthetic products derived therefrom, to crude products of animal or plant origin or herbal products.

Peptides

- Generally used at low daily dose
- Many potential related substances: isomers, results of failures in sequences, cleavages or coupling
- Requirements for related substances included in the general monograph 2034 from 1/07/2009:

Table 20342. – Reporting, identification and qualification of organic impurities in peptides obtained by chemical synthesis				
Reporting	Identification	Qualification		
threshold	threshold	threshold		
> 0.1 per cent	> 0.5 per cent	> 1.0 per cent		

1. Fermentation Processes

01/2008+1468

PRODUCTS OF FERMENTATION

Producta ab fermentatione

This monograph applies to indirect gene products obtained by fermentation. It is not applicable to:

- monographs in the Pharmacopoeia concerning vaccines for human or veterinary use;
- products derived from continuous cell lines of human or animal origin;
- direct gene products that result from the transcription and translation from nucleic acid to protein, whether or not subject to post-translational modification;
- products obtained by semi-synthesis from a product of fermentation and those obtained by biocatalytic transformation;
- whole broth concentrates or raw fermentation products.

General monograph « Products of fermentation » (1468)

- Scope:
 - « Indirect » gene products obtained by fermentation
- Out of the scope:
 - Vaccines, products from continuous cell lines, of animal/human origin
 - Direct gene products
 - Semi-synthetic products, biocatalytic process
- Provides general requirements for manufacture of fermentation products. Compliance mandatory for these products

FERMENTATION

<u>Advantages</u>

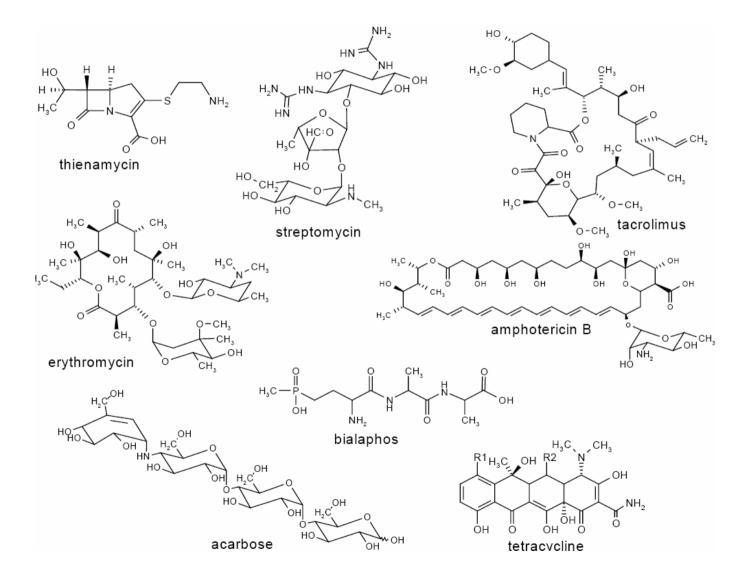
•One step reaction: synthesis of complex molecules

• Low costs

<u>Disadvantages</u>

Complex mixtures
Contaminants with different structures
Residuals arising from micro-organisms
Complex downstream

Product of fermentation Complex structures



Simple compounds, normally prepared by chemical synthesis, are more and more prepared by fermentation. For example:

- Aminoacids
- Nucleosides and nucleotides
- Vitamins

Control of the quality is depending from the process used.

Specificities of fermentation products

- Variety of possible processes
- A biosynthetic process may be more difficult to control than a chemical process
- May lead to complex mixtures
- Need to have powerful separation techniques for the control of related substances

Requirements

- Source and history of the producer microorganism
- Characterisation, stability, of the producer microorganism
- Detailed description of fermentation, incl materials, preparation of media, downstream processing
- In-process controls at all stages
- Purity of the final substance: impurity profile and specification

Impurity profile

- Impurities (3.2.S.3)
 - Describe all potential related substances (sometimes complex), focus on actual impurities
 - Address residual solvents according to European guidelines
 - Discuss particular impurities arising from fermentation: residues of substrates, cells residues, proteins,...

Limits for related substances

- Set limits according to the monograph
- Set limits for the other known impurities
 - Qualify impurity profile by comparison with products already on the market, or tox data
 - In line with levels found in batches
- Set limits for unknown impurities + total impurities

Limits for residual solvents

- According to ICH and CHMP guidelines on Residual solvents
- Show absence of the solvents used during the process before purification
- Set limits and propose methods for solvents used during purification

Other impurities

- Demonstrate that there are no residues from fermentation
- Proteins: not a concern for oral use (a lime proposed), to be addressed if parenteral use (absence should be demonstrated)
- DNA
- The Ph. Eur provides general methods which can be used

downstream:

Elimination of residuals from micro-organism

•Extraction with organic solvents

allows complete elimination of residuals. Presence of residual indicate a not well separation of phases.

Crystallization in organic solvent

Most adequate for residuals elimination

·Ultra filtration

Residuals of low molecular weight proteins and peptides

Chromatographic columns

Complete elimination of protein is ensured depending from the chromatographic conditions

Problems for high water soluble products:

- Peptides
- ·Amino acids
- •Oligosaccharides
- Nucleosides and nucleotides

Evaluation of residuals arising from the micro-organism

Absence of residuals from the microorganism should be demonstrated during process validation
Product for injection should be carefully controlled

Nucleic acids

Absorbance testsRT-PCR or PCR

Proteins

Colorimetric tests: Bradford, Lowry or other according to the *Monograph for Assay of Total Protein 2.5.33* (01/2008:20533)
Electrophoresis

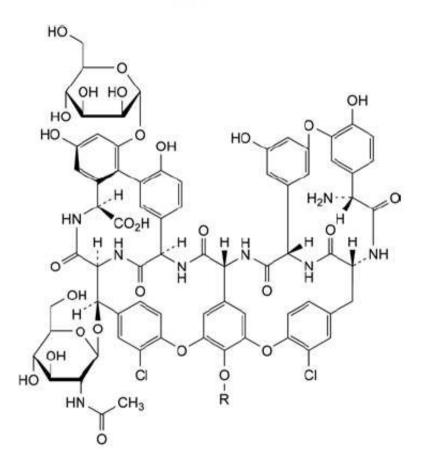
The specific monographs

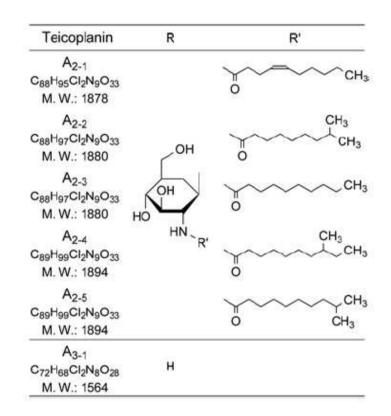
- Cover the quality of individual substances. Eg. Erythromycin,..
- Compliance mandatory in Ph. Eur member states
- Take into account the specificity of fermentation products
- Reflect the profiles of products approved for the European market
- Transparency list: describes impurities detected by the methods of the monograph

Teicoplanine

01/2009:2358 corrected 6.6

Teicoplaninum





Is a Complex mixture of products. Problems related to:. •Identification

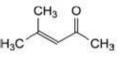
•Quality

Angtibiotics: Teicoplanine

Limits:

- teicoplanin A₂ group: minimum 80.0 per cent;
- teicoplanin A22: 35.0 per cent to 55.0 per cent;
- teicoplanin A₂₁ group: maximum 20.0 per cent;
- teicoplanin A23 group: maximum 20.0 per cent;
- teicoplanin A24: maximum 20.0 per cent;
- teicoplanin A₂₅ group: maximum 20.0 per cent;
- teicoplanin A₃ group: maximum 15.0 per cent;
- total of impurities other than mesityl oxide with a relative retention more than 1.25: maximum 5.0 per cent;
- disregard limit: the area of the peak due to teicoplanin A_{2.2} in the chromatogram obtained with reference solution (b) (0.25 per cent).

IMPURITIES Specified impurities: A.



A. 4-methylpent-3-en-2-one (mesityl oxide).

Composition of the "Complex" and related substances are strictly dependent from: •Microorganism •Control of the Fermentation process

•Raw material used for fermentation process

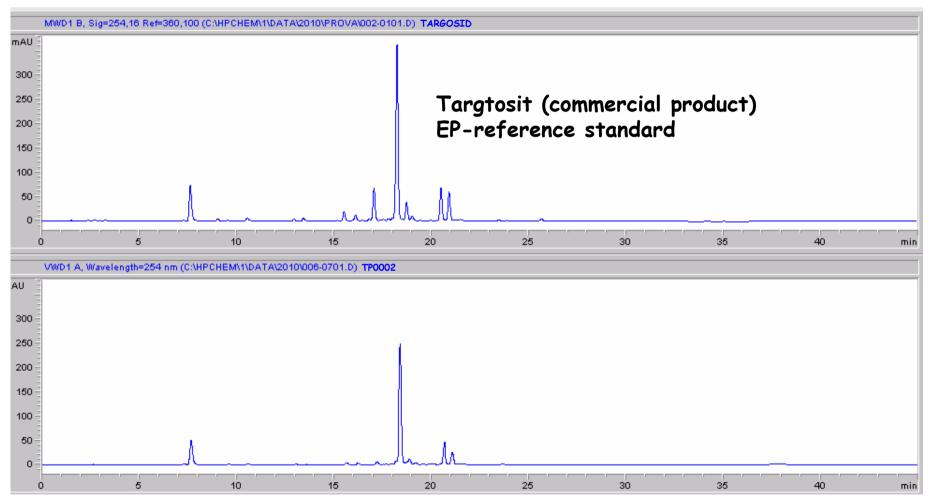
Limit for impurities?

Draft: Guide line on setting specification for related impurities in antibiotics

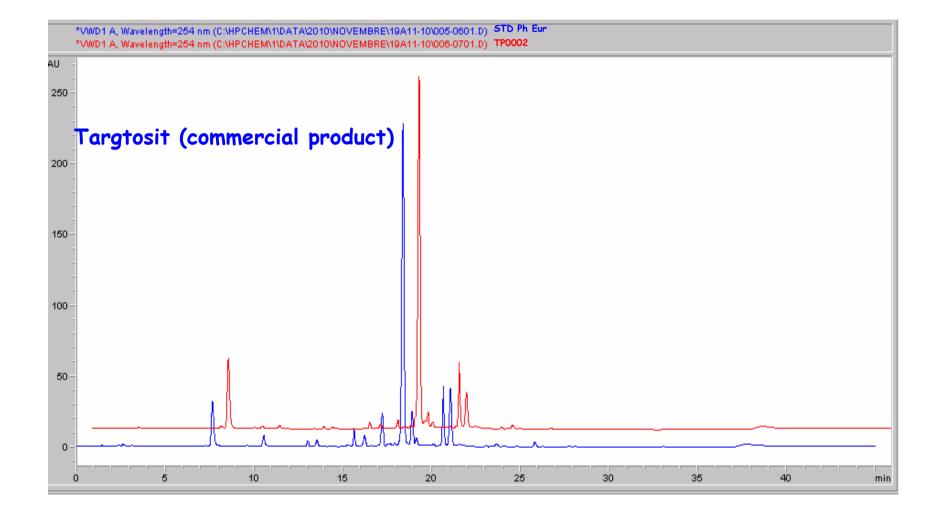
14 July 2010: EMA/CHMP/CVMP/QWP 199250/2009 End of Consultation 31 January 2011

Proposed limits for Antibiotics manufactured by
fermentation: Fanmily of compoundReporting threshold:0.10%Identification threshold0.15%Qualification threshold unknown0.15%Qualification threshold related0.50%Identification at least by HPLC-MS0.50%

Teicoplanine Producer 1



Pattern and related substances conform with the EP-CRS No new impurities detected



Batch Analysis

Test	Specs	TARGOSID	TP0002
A2 Group	minimum 80,0%	87,9	86,8
A2-1	maximum 20,0%	17,1	6,1
A2-2	35,0 - 55,0 %	45,1	54,7
A2-3	maximum 20,0%	7,7	7,6
A2-4	maximum 20,0%	8,8	10,9
A2-5	maximum 20,0%	9,1	7,5
A3 Group	maximum 15,0%	9,6	11,4
Total Impurities	maximum 5,0%	2,5	1,8

Teicoplanine Prodiucer 2: Japan Pharcopoeia (JP)

JP Specification	Teico Batch A	Teico Batch B	Teico Batch C
TA2 Group NLT 80%	95.24	94.04	90.50
TA3 Group NMT 15%	3.71	4.45	8.28
Other NMT 5%	1.05	1.51	1.22

Commercial Medicinal product (Tangosit) and the new Teicoplanine API meet specification for JP

Csilla Frank; Controllo della qualità di un prodotto di fermentazione: aspetti tecnici e regolatori Thesis "Master in Discipline Regolatorie 2008"; University of Pavia; Pavia; Italy

Teicoplanine: EP Specification

Out of Specification

EP Specification	Teico Batch A	Teico Batch B	Teico Batch C
TA2-1 Group NLT 20%	10.65	6.32	8.62
TA2-2 Group NMT 35-55%	59.54	56.54	55.01
TA2-3 Group NMT 20%	9.04	10.69	8.95
TA2-4 Group NMT 20%	4.27	7.51	5.05
TA2-5 Group NMT 20%	2.79	5.26	3.57

Conform with the Specification

EP Specification	Teico Batch A	Teico Batch B	Teico Batch C
TA2-1 Group NLT 20%	9.3	8.3	7.7
TA2-2 Group NMT 35-55%	47.7	47.7	48.7
TA2-3 Group NMT 20%	8.2	7.5	7.0
TA2-4 Group NMT 20%	14.8	14.1	13.5
TA2-5 Group NMT 20%	6.7	7.1	7.1



Modulation of fermentation condition Selection of the ingredient used during fermentation

Csilla Frank; Controllo della qualità di un prodotto di fermentazione: aspetti tecnici e regolatori Thesis "Master in Discipline Regolatorie 2008"; University of Pavia; Pavia; Italy

Example of fermentation product: L-serine

Isolation from fermentation broth:

- Filtration of biomass
- Anionic and cationic exchange resins

Purification:

- Ultrafiltration of water solution (cut-off m.w.>6000)
- Crystallization from water

Possible impurities:

Aminoacids. Analysis performed with A.A. analyzer (each NMT 0.2%; total NMT 1.0%).

Absence of protein demonstrated by Bradfford assay (colorimetric: LOD 1ug/mL)

2. Enzymatic Bioprocesses

Different "catalysts" can be used

Cell paste (wool cell)
Fermentation Broth
Crude extract

True Fermentation

- Possible Residuals arising from the micro-organism
- Complex downstream

Purified Enzymes
Solid or
Immobilised Enzymes

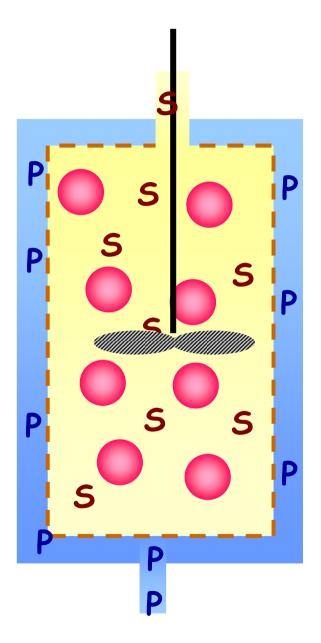
Chemical products

Potential Residual ProteinsNo other residual from fermentation

USE OF ISOLATED ENZYMES IN THE SYNTHESIS OF ACTIVE INGREDIENTS

ENZYME PREPARATION

- 1. Free soluble enzymes
- 2. Enzymes immobilized on solid supports



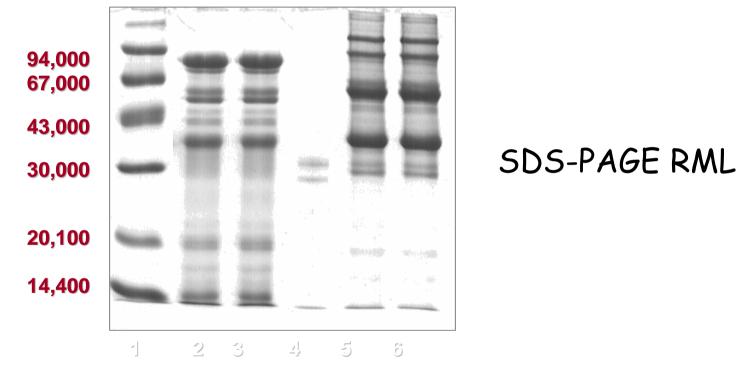
1. FREE SOLUBLE ENZYMES

Downstream:

Separation of the enzyme from the product

•REACTORS WITH ULTRAFILTRATION •EXTRACTION

CRUDE EXTRACT ARE OFTEN COMPLEX Mixture of several proteins



- •Contamination by different proteins depending from filter porous size (cut-off)
- Side reactions catalyzed by different enzymes
- •Other contaminants contained in the crude extract

Example of Enzymatic bioprocess: L-serine



Enzyme Isolation from fermentation broth:

- Filtration of biomass
- Solution is directly used without purification of the enzyme

Purification:

- Ionic exchange colums
- Crystallization from organic solvent/water solution
- Ultrafiltration of water solution (cut-off m.w.>5000)
- Crystallization from water

Possible impurities:

Glicine (starting material) and other A.A. Analysis performed with A.A. analyzer (each NMT 0.5%; total NMT 1.0%).

Absence of protein demonstrated by adsorbance at 650 nm (LOD 40 ppm)

OPTIMIZATION OF THE BIO-CATALYST BY PROTEIN ENGENNERING

OPTIMIZED ENZYMES

Stabilisation of the biocatalyst
 Recoveryof the biocatalyst
 Re-use of the biocatalyst

Improved yields Simplification of the downstream No product contamination

1. IMMOBILIZED ENZYMES

Optimisation of the catalyst

Design of the enzyme derivative

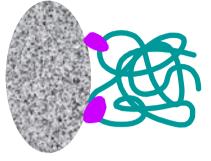
StabilityRe-useCatalytic properties

Avoid the Release of protein in the reaction medium

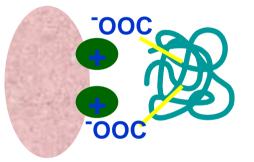
Relase of protein from the enzyme derivative

Extreme temperature and presence of co-solvents

HYDROPHOBIC ADSORPTION

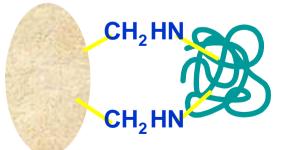


Extreme temperature and pH; High Ionic strength IONIC ADSORPTION

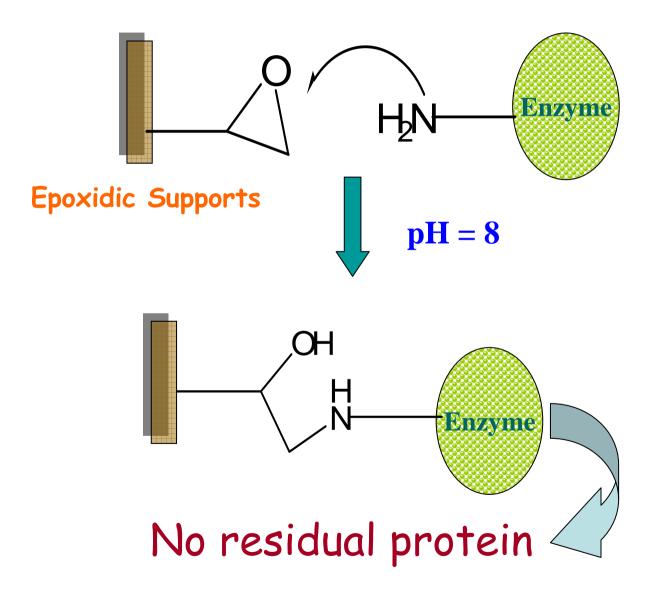


No release; Special case: multimeric enzymes

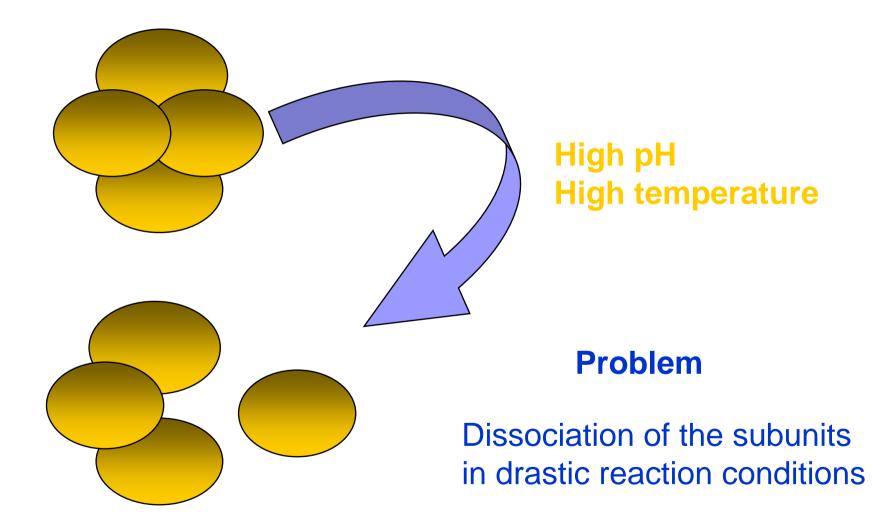
COVALENT ATTACHMENT



Covalent Immobilisation



Stabilization of Multimeric Enzymes



Special case: Multimeric enzymes



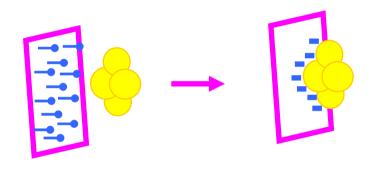
Covalent immobilisation

Dissociation of the subunits:Low stability of the enzymeResidual protein in the final product

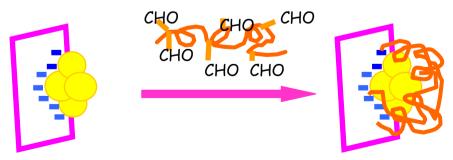
Knowledge of the catalyst engineering is essential

IMMOBILIZATION-STABILIZATION OF MULTIMERIC ENZYMES

1. immobilization

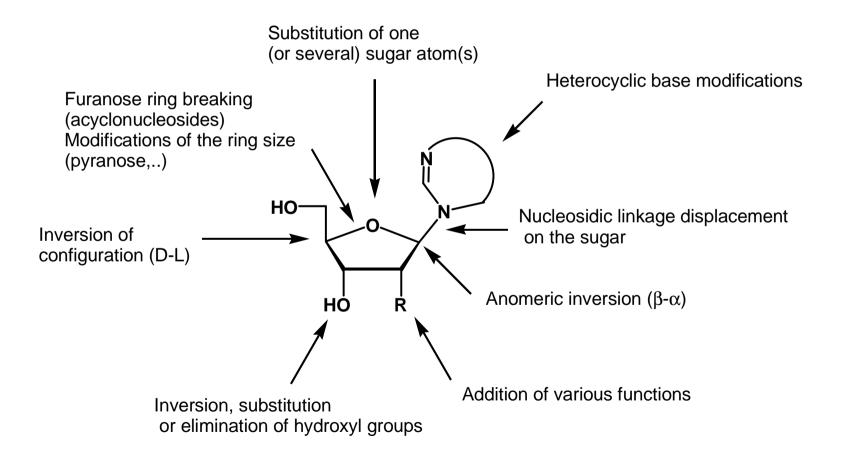


2. "*Subunit cross-linking*" with polifunctionalized molecules

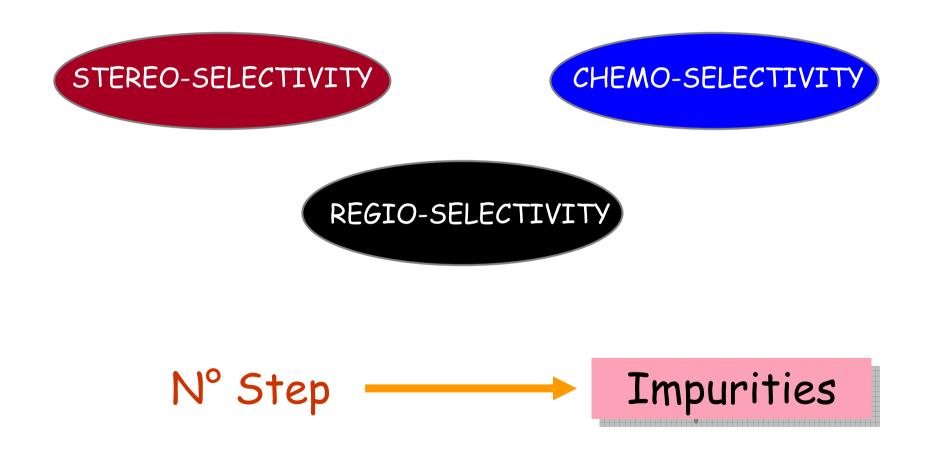


NUCLEOSIDE ANALOGUES AND CHEMOTHERAPY

(=MODIFICATIONS OF THE BASE AND/OR SUGAR MOIETY)

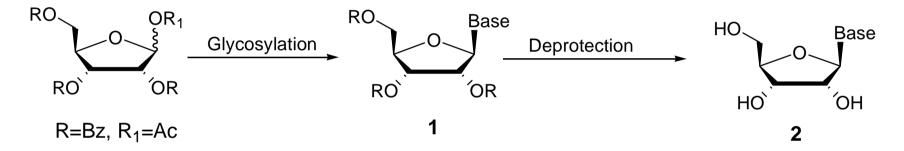


SYNTHESIS OF THE N-GLYCOSYDIC BOND

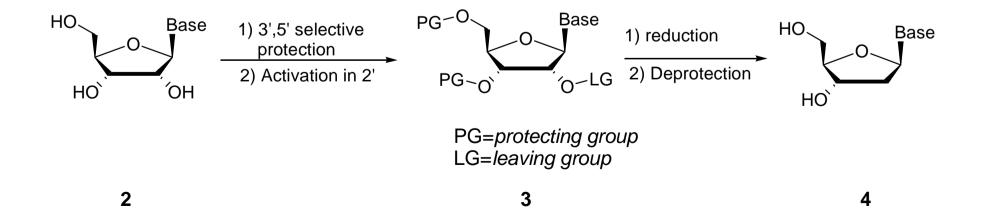


CHEMICAL SYNTHESIS OF 2'-DEOXYNUCLEOSIDES

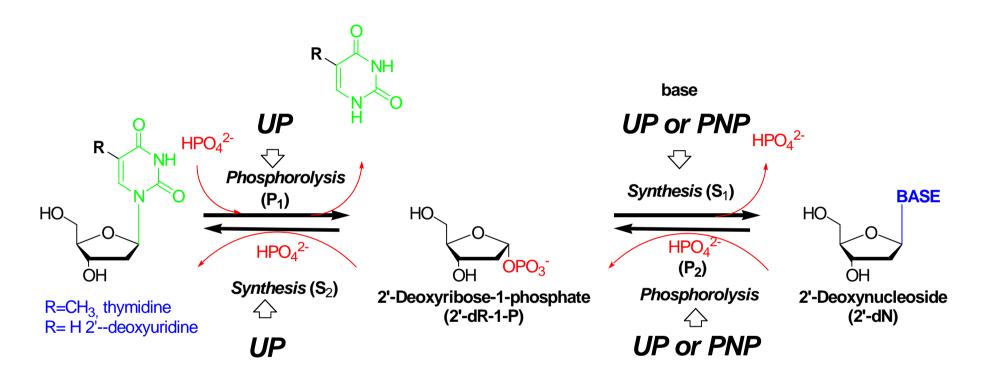
1. Synthesis of the ribonucleoside (glycosylation)



2. Selective radicalic reduction of the ribonucleoside in 2'

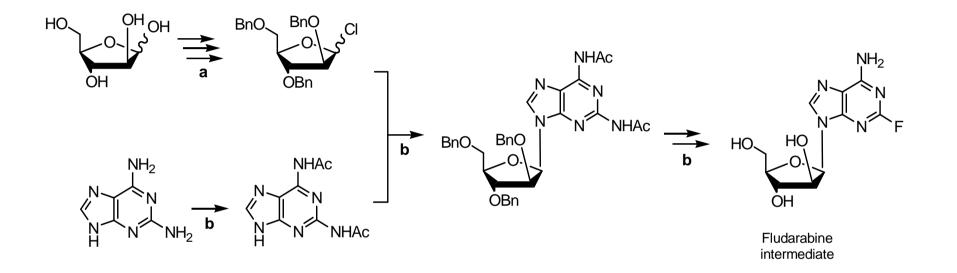


ONE-POT ENZYMATIC SYNTHESIS OF NUCLEOSIDES



Catalyzed by Phosphorilases Enantioselective glycosilation: only beta-anomer

CHEMICAL SYNTHESIS OF FLUDARABINE

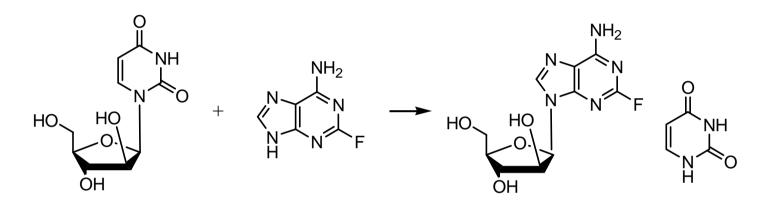


a: Bioorganic & Medicinal Chemistry Letters, 15(3), 683-685; 2005 **b**: Huaxue Yanjiu Yu Yingyong, 18(5), 570-572; 2006

Chemical synthesis: 10 steps

ENZYMATIC SYNTHESIS OF FLUDARABINE

Enzymatic glycosilation



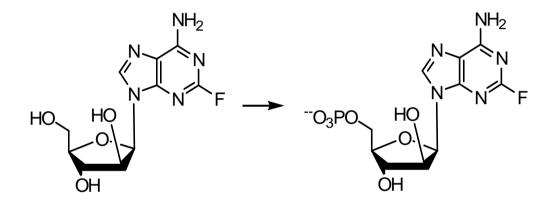
Fludarabine intermediate

Eur. Pat.Appl., 1835035, 19 Sep 2007 IP. com Journal, 6(10A), 9; 2006

> **Enzymatic reaction:** 1 step Cell paste Water as solvent

Synthesis of Fludarabine monophosphate

PHOSPHORYLATION OF FLUDARABINE INTERMEDIATE



Fludarabine 5'-monophosphate

Chemical reaction Triethylphospate as solvent

PCT Int. Appl., 2005040183, 06 May **2005** Bulletin of the Chemical Society of Japan, **1969**, *42*, 3505 Chem. Pharm. Bull., **1995**, *43*, 210

Purification of Fludarabine monophosphate

Chemo-enzymatic process:

Ultra filtration Crystallization and wasching from water

> Control of quality: Wool cells are used

Chemical process:

Crystallization from water



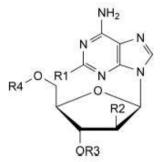
Protein eliminated by ultra-filtration Last reaction in organic solvent

Nucleic acid controlled in API by Eur.Ph 01/2005:0784 hybridization analysis in recombinant DNA

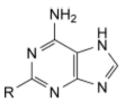
01/2008:1781

FLUDARABINE PHOSPHATE

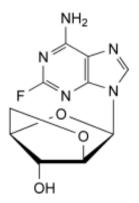
Fludarabini phosphas



- A. R1 = R2 = OH, R3 = H, R4 = PO₃H₂: 6-amino-9-(5-O-phosphono-β-D-arabinofuranosyl)-9H-purin-2-ol,
- C. R1 = F, R2 = OH, R3 = R4 = PO₃H₂: 9-(3,5-di-O-phosphonoβ-D-arabinofuranosyl)-2-fluoro-9H-purin-6-amine,
- E. R1 = F, R2 = OH, R3 = R4 = H: 9-β-D-arabinofuranosyl-2-fluoro-9*H*-purin-6-amine,
- F. R1 = O-C₂H₅, R2 = OH, R3 = H, R4 = PO₃H₂: 2-ethoxy-9-(5-O-phosphono-β-D-arabinofuranosyl)-9H-purin-6-amine,
- G. R1 = F, R2 = Cl, R3 = H, R4 = PO₃H₂: 9-(2-chloro-2-deoxy-5-O-phosphono-β-D-arabinofuranosyl)-2-fluoro-9H-purin-6-amine,
- I. R1 = NH₂, R2 = OH, R3 = H, R4 = PO₃H₂: 9-(5-Ophosphono-β-D-arabinofuranosyl)-9H-purine-2,6-diamine,
- J. R1 = OCH₃, R2 = OH, R3 = H, R4 = PO₃H₂: 2-methoxy-9-(5-O-phosphono-β-D-arabinofuranosyl)-9H-purin-6-amine,

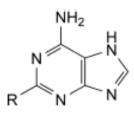


- B. R = OH: 6-amino-7H-purin-2-ol,
- D. R = F: 2-fluoro-7H-purin-6-amine,



H. 9-(2,5-anhydro-β-D-arabinofuranosyl)-2-fluoro-9*H*-purin-6-amine.

Potential Impurities obtained in the synthesis of fludarabine



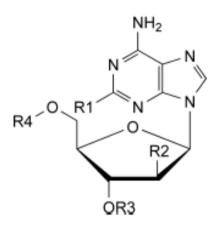
B. R = OH: 6-amino-7H-purin-2-ol,

D. R = F: 2-fluoro-7H-purin-6-amine,

Chemical: Unreacted impurity of diaminopurine Enzymatic: Unreacted impurity of fluoroadenine

Chemical: degradation or by- product Enzymatic: Unreacted starting material (F-adenine)

Potential Impurities obtained in the synthesis of Fludarabine Mono-Phosphate

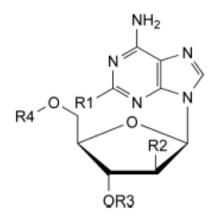


- A. R1 = R2 = OH, R3 = H, R4 = PO₃H₂: 6-amino-9-(5-O-phosphono-β-D-arabinofuranosyl)-9H-purin-2-ol,
- C. R1 = F, R2 = OH, R3 = R4 = PO₃H₂: 9-(3,5-di-O-phosphonoβ-D-arabinofuranosyl)-2-fluoro-9H-purin-6-amine,
- E. R1 = F, R2 = OH, R3 = R4 = H: 9-β-D-arabinofuranosyl-2-fluoro-9H-purin-6-amine,

Chemical: from a by-product obtained during base modification Enzymatic: from impurity B in F-Adenine

Chemical: by-product during phosphorylation Enzymatic: byproduct during phosphorylation

Chemical: unreacted Fludarabine int. Enzymatic: unreacted Fludarabine int.



- F. R1 = O-C₂H₅, R2 = OH, R3 = H, R4 = PO₃H₂: 2-ethoxy-9-(5-O-phosphono-β-D-arabinofuranosyl)-9H-purin-6-amine,
- Chemical: from a by-product obtained during base modification
- I. R1 = NH₂, R2 = OH, R3 = H, R4 = PO₃H₂: 9-(5-Ophosphono-β-D-arabinofuranosyl)-9H-purine-2,6-diamine,
- J. R1 = OCH₃, R2 = OH, R3 = H, R4 = PO₃H₂: 2-methoxy-9-(5-O-phosphono-β-D-arabinofuranosyl)-9H-purin-6-amine,
- Chemical: from a by-products obtained during base modification
- Chemical: from a by-products obtained during base modification

Quality: Related Substances

Impurities	Ph. Eur. name	Ph Eur limits	Chemo-Enzymatic	Chemical
Fludarabine phosphate, 2- hydroxy analog	Impurity A	$\leq 0.8\%$	0 - 0.2%	0.2-05%
Isoguanine	Impurity B	$\leq 0.2\%$	ND	ND
Fludarabine diphosphate	Impurity C	\leq 0.4%	ND	0.2-0.3%
2-Fluoroadenine	Impurity D	\leq 0.1%	ND	ND
Fludarabine	Impurity E	\leq 0.2%	ND	ND
2-Ethoxyphosphate analog	Impurity F	\leq 0.2%		0.1%
Any other impurity	Impurity I Impurity J	$\leq 0.1\%$	0 - 0.1%	0.1%
Total other impurities		\leq 0.5%	0 - 0.1%	0.2-0.3%
Total impurities		≤ 2.0%	0 - 0.3%	0.9-1.2%

Solvent used

Chemo-Enzymatic process

Water Triethylphosphate 4 class II solvents and 2 class III solvents **Chemical process** Water Triethylphosphate 7 class II solvents and 5 Class III solvents

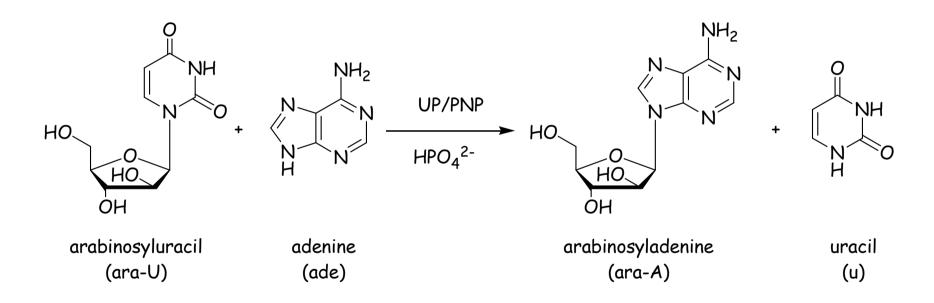
Other possible impurities

Chemo-Enzymatic process Ara-U and Uracile

Chemical process

All intermediates Pd used in the deprotection step Alfa-anomer of fludarabine

Further Improvement: 1) Enzymatic Transglycosylation Using Isolated And Immobilized Enzymes



Immobylized Enzymes

•UP: Pyrimidine phosphorylase from *Clostridium perfringens*

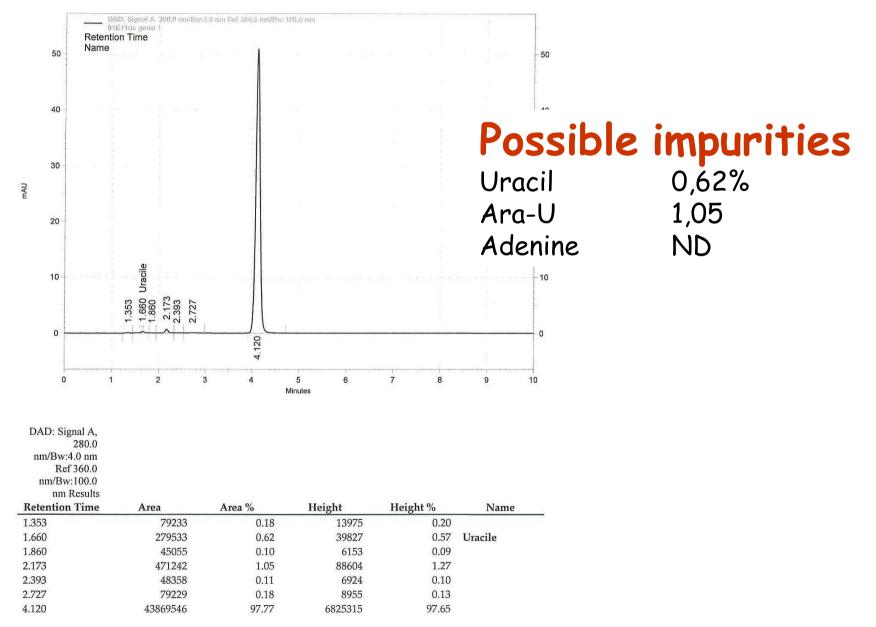
DeoD2: Purine phosphorylase from Aeromonas hydrophila

Enzymatic synthesis of arabinosyladenine

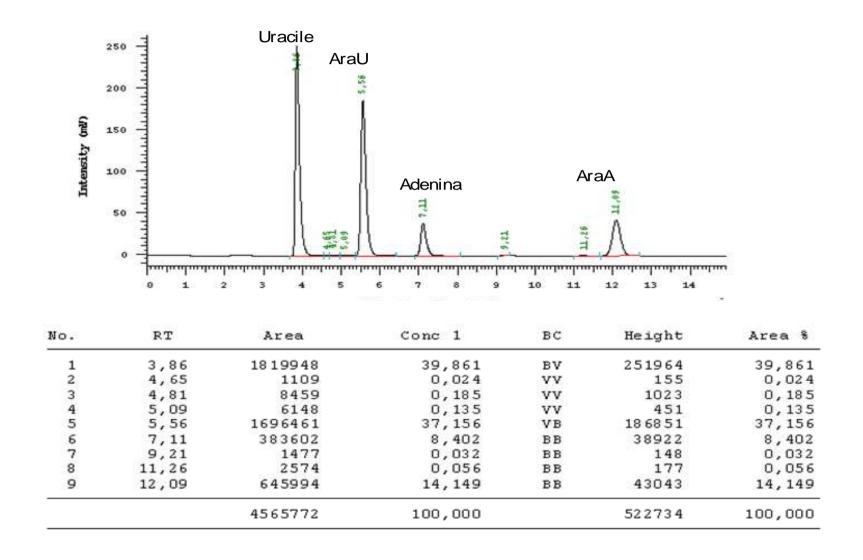
AraU (mM)	Ade (mM)	Volume (mL)	Cp UP (U)	Ah DeoD2 (U)	Conversion % (h)	Isolated yield (%)	Purity (%)
40	20	20	48	60	75 (48)	-	-
50	25	20	48	60	73 (48)	-	-
50	25	100	250	300	77 (26)	45	96
50	25	500	1250	1500	77 (26)	56	96
50	25	2000	5000	6000	80 (26)	55	98
				Purifi	cation		

Precipitation from water/DMF solution (reaction medium) Filtration Crystallyzation and Washing with water Recovery: 55%

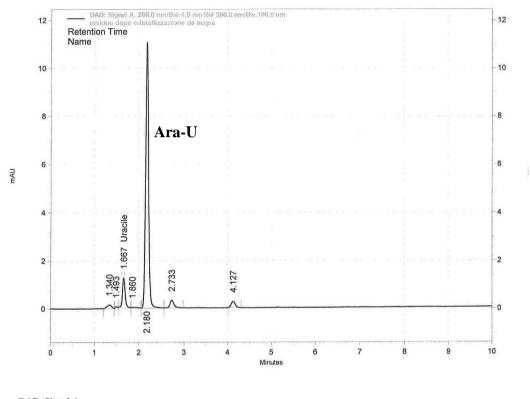
Product



Mother liquor



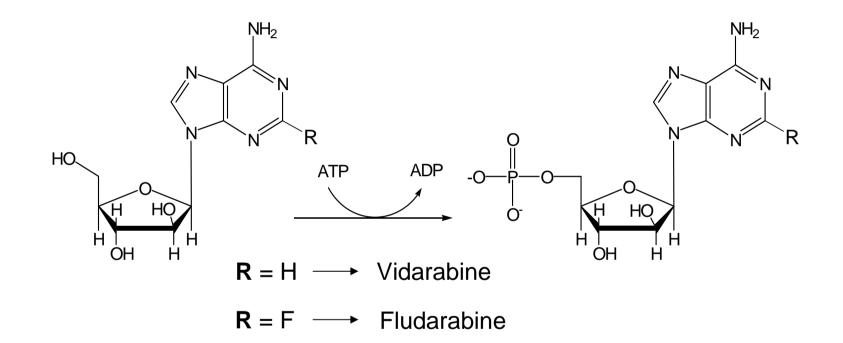
Ara-U can be recovered and reused



DAD: Signal A,
280.0
nm/Bw:4.0 nm
Ref 360.0
nm/Bw:100.0
nm Results

Retention Time	Area	Area %	Height	Height %	Name
1.340	139352	1.69	19851	1.13	
1.493	30514	0.37	8688	0.49	
1.667	767467	9.29	170203	9.65	Uracile
1.860	51796	0.63	4591	0.26	
2.180	6787864	82.21	1483022	84.10	
2.733	261517	3.17	42454	2.41	
4.127	218336	2.64	34592	1.96	

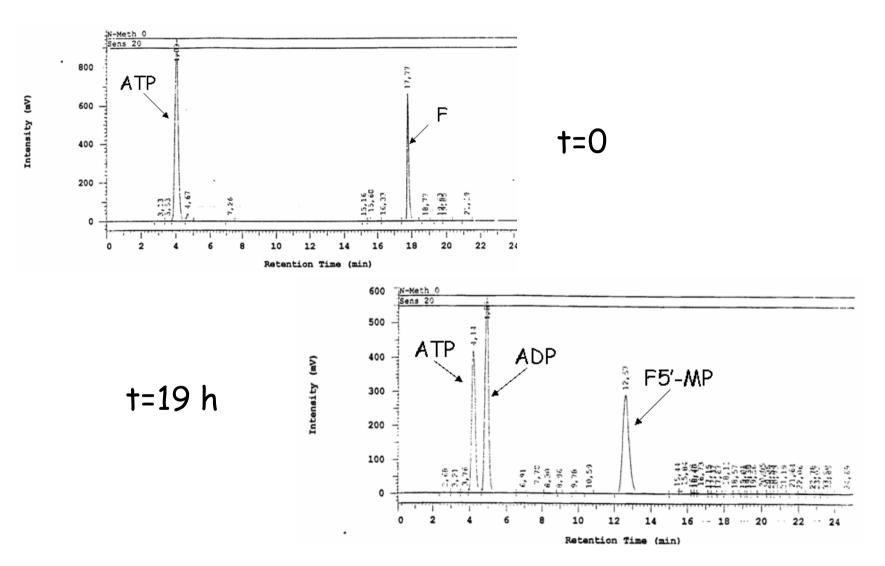
Further Improvement: 2) Enzymatic phosphorylation catalysed by immobilized Kinases



Immobylized Enzyme

DADA: Reconbinant Kynase from Dictyostelium discoideum

Fludarabine (R=F)



Conversion 96% (18 g/L)